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2 **Fire severity and tree regeneration following bark beetle outbreaks: the role**
3 **of outbreak stage and burning conditions**
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12 **Abstract**

13 The degree to which recent bark beetle (*Dendroctonus ponderosae*) outbreaks may
14 influence fire severity and post-fire tree regeneration is of heightened interest to resource
15 managers throughout western North America, but empirical data on actual fire effects are
16 lacking. Outcomes may depend on burning conditions (i.e., weather at the time of fire), outbreak
17 severity, or the interval between outbreaks and subsequent fire. We studied recent fires that
18 burned through green-attack / red-stage (outbreaks < 3 yr before fire) and gray-stage (outbreaks
19 3-15 yr before fire) in subalpine forests dominated by lodgepole pine (*Pinus contorta* var.
20 *latifolia*) in Greater Yellowstone, Wyoming, USA, to determine if fire severity was linked to pre-
21 fire beetle outbreak severity and whether these two disturbances produced compound ecological
22 effects on post-fire tree regeneration. With field data from 143 post-fire plots that burned under
23 different conditions, we assessed canopy and surface fire-severity as well as post-fire tree
24 seedling density against reconstructed pre-fire outbreak severity.

25 Under moderate burning conditions, fire severity in green-attack / red-stage forests

26 increased with pre-fire beetle outbreak severity and with greater relative proportions of green-
27 attack vs. red-stage trees (i.e., the earliest stages of outbreak), whereas fire severity in gray-stage
28 forests declined with pre-fire outbreak severity. Under extreme burning conditions, fire severity
29 and pre-fire outbreak severity were only weakly associated in green-attack / red-stage forests and
30 unrelated in the gray stage. Post-fire lodgepole pine seedling regeneration was unrelated to pre-
31 fire outbreak severity in either post-outbreak stage, but increased with pre-fire serotiny. Results
32 suggest mountain pine beetle outbreaks lead to a brief period of increased potential for severe
33 fire at the earliest phase of the outbreak (i.e., with green-attack trees), largely under moderate
34 burning conditions, followed by a protracted period of diminished potential for severe fires
35 during the gray stage. Thus, beetle outbreak severity was linked to fire severity, but the
36 magnitude direction of the linkage depended on both endogenous (outbreak stage) and
37 exogenous (fire weather) factors. Closely-timed beetle outbreak and fire did not impart
38 compound effects on tree regeneration, suggesting the presence of a canopy seedbank may
39 enhance resilience to their combined effects.

40 **Keywords:** disturbance interactions, compound disturbance, *Dendroctonus ponderosae*,
41 mountain pine beetle, *Pinus contorta*, lodgepole pine, subalpine forest, fire ecology, Greater
42 Yellowstone Ecosystem, Rocky Mountains, USA.

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Introduction

Severe natural disturbances have shaped Rocky Mountain forest landscapes for centuries or more (e.g., Kulakowski et al. 2003). While ecosystem response to individual disturbances is well-understood in many forests, interactions between disturbances present challenging questions for scientists and managers (Turner 2010). Recent outbreaks of native bark beetle species (genus: *Dendroctonus*) have caused extensive tree mortality over tens of millions of hectares of conifer forest in western North America (Raffa et al. 2008, Meddens et al. 2012), raising concern about the potential for severe fire following outbreaks (Hicke et al. 2012). Fuel profiles and fire models suggest fire behavior may be affected by pre-fire outbreaks (see Hicke et al. 2012 and Jenkins et al. 2012 for recent reviews), but field measures of fire severity (i.e., effects on the ecosystem) in post-outbreak forests are lacking. Outbreaks can also affect seed sources (e.g. Teste et al. 2011a, 2011b) in ways that may alter post-fire tree regeneration patterns (Harvey et al. in press), but different regeneration mechanism among tree species may lead to contrasting outcomes. Empirical data from fires that burn through post-outbreak stands are needed to assess the effects of outbreak severity and time since outbreak on fire severity, and the joint effects of outbreak severity and fire severity on post-fire trajectories.

Bark beetle outbreaks and fire may be linked disturbances, in that fire severity may be affected by pre-fire outbreaks. Linkages between disturbances may change with outbreak severity (e.g., the proportion of beetle-killed basal area or trees), time since outbreak, and/or under different burning conditions (i.e., weather). Following initiation of a bark beetle outbreak, forest stands transition predictably through several stages (e.g., Page and Jenkins 2007a, Klutsch et al. 2011, Simard et al. 2011, Schoennagel et al. 2012, Donato et al. 2013a). In the early stages of an outbreak, infested stands are a mixture of green-attack trees (< 1 yr after infestation by

66 adult beetles but prior to successful emergence of pupae, ~ 100% retention of largely green
67 needles on infested trees) and red-stage trees (1-2 yr after infestation, ~ 50% retention of largely
68 red needles on beetle-killed trees). Stands next transition to the gray stage (3-15 yr after
69 infestation, no new beetle attack occurring, << 50% needle retention on beetle-killed trees) and
70 then the silver stage (25-30 yr post-outbreak, most beetle-killed trees fallen down). High
71 uncertainty exists for predicted fire behavior in the transient early outbreak stages (Simard et al.
72 2011, Schoennagel et al. 2012, Hoffman et al. 2012), where studies to date have not considered
73 the influence of green-attack trees, which can exhibit rapid increases in foliar flammability (Jolly
74 et al. 2012a). Most fire simulation studies agree that crown-fire potential decreases in gray and
75 silver stands but report equivocal changes to surface-fire behavior (Page and Jenkins 2007b,
76 Klutsch et al. 2011, Simard et al. 2011, Schoennagel et al. 2012, Hicke et al. 2012, Donato et al.
77 2013a). Across simulation studies, the effects of outbreaks on fire potentials are greatest under
78 moderate weather and diminish under extreme weather.

79 Fire simulation modeling is instructive for understanding potential fire behavior (e.g., fire
80 intensity, rate of spread, energy output) and addressing operational concerns (e.g., fire
81 suppression or firefighter safety), but it does not directly address the ecological effects of fire in
82 post-outbreak forests. Although fire severity and behavior are related, fire effects are most
83 appropriately measured with empirical post-fire data and cannot be predicted from fire behavior
84 alone. Prior retrospective studies have mainly relied on remote measures (e.g., satellite or aerial
85 records) that detect coarse-scale disturbance occurrence or severity (e.g. Lynch et al. 2006), or do
86 not account for burning conditions when evaluating disturbance severity (Kulakowski and
87 Veblen 2007, Bond et al. 2009). Field data may uncover fire-severity responses that are
88 undetectable with remote data, but field measures of outbreak and fire severity under different

89 burning conditions are lacking (Hicke et al. 2012).

90 In addition to linked interactions between outbreaks and fire, compound disturbance
91 effects (Paine et al. 1998) may result if beetle outbreaks alter ecosystem response to subsequent
92 fire, and post-fire regeneration mechanisms are likely key in determining outcomes. Beetles can
93 indirectly reduce seed availability by killing large seed-producing trees (Bjorklund and Lindgren
94 2009), which can lead to decreases in post-fire seedling establishment in forests that lack a
95 seedbank (Harvey et al. in press). Adaptations such as serotinous cones may buffer compound
96 disturbance effects if seedbanks remain viable after tree death (Teste et al. 2011a, 2011b, Aoki et
97 al. 2011), but outcomes have not been tested empirically following beetle outbreaks and
98 subsequent fire.

99 The ability to directly address linked and compound interactions between beetle
100 outbreaks and fire has been limited by a lack of spatially explicit field data to characterize the
101 severity of both disturbances. High-elevation subalpine forests dominated by lodgepole pine
102 (*Pinus contorta* var. *latifolia*) make up over 15% of the forested area of the Rocky Mountains
103 (Baker 2009) and have experienced widespread recent mountain pine beetle (*Dendroctonus*
104 *ponderosae*) outbreaks (Raffa et al. 2008, Meddens et al. 2012). Recent fires have burned
105 through beetle-outbreak impacted stands, presenting an excellent opportunity to empirically
106 evaluate how disturbances interact in linked or compound ways in this widespread forest type.

107 We collected field data following recent wildfires that burned through different
108 outbreak/post-outbreak stages under contrasting burning conditions in subalpine forests of the
109 Greater Yellowstone Ecosystem (GYE) to test for disturbance interactions across a range of
110 contexts. Specifically, we asked: (1) What is the effect of recent bark beetle outbreaks on
111 subsequent fire severity, and do any effects differ with time since outbreak and/or under different

112 burning conditions (i.e., in what ways are these disturbances linked)? (2) How does pre-fire
113 outbreak severity affect post-fire lodgepole pine seedling establishment (i.e., do these
114 disturbances produce compound effects)?

115 Canopy fire severity was expected to increase with pre-fire outbreak severity in the
116 green-attack / red stage due to greater needle flammability without concomitant loss of needles
117 from beetle-killed trees (Jolly et al. 2012a); no change to surface fire severity was expected
118 because surface fuels are little-changed from unattacked stands (Simard et al. 2011, Schoennagel
119 et al. 2012, but see Page and Jenkins 2007a). In the gray post-outbreak stage, canopy fire severity
120 was expected to decline with higher pre-fire outbreak severity because of lower available canopy
121 fuel once needles are shed from beetle-killed trees, whereas surface fire severity was expected to
122 increase with pre-fire outbreak severity due to accumulation of fine fuels (Page and Jenkins
123 2007a, Klutsch et al. 2011, Simard et al. 2011, Schoennagel et al. 2012). In both post-outbreak
124 stages, effects were expected to be lessened under extreme burning conditions when weather
125 could override beetle-induced changes to fuels. Because beetle-killed lodgepole pine can
126 maintain a viable aerial seedbank (Aoki et al. 2011, Teste et al. 2011b), high-levels of serotiny
127 were expected to buffer against compound disturbance effects, such that post-fire seedling
128 density would not vary with pre-fire outbreak severity.

129 **Methods**

130 *Study area*

131 The study areas were in the Gros Ventre Wilderness and the Bridger Wilderness on the
132 Bridger-Teton National Forest (BTNF), located in the southern portion of the GYE (43° 20' N,
133 110° 08' E) (Figure 1A). Mean daily temperatures range from -18 °C in January to 22 °C in July,
134 and annual mean precipitation is 60 cm (www.prism.oregonstate.edu). Soils are well drained,

135 fine-loamy, and derived from sedimentary and metamorphic substrates (heavily glaciated Typic
136 Dystrocryepts and Haplocryalfs [Munn and Arneson 1998]). Forests are dominated by lodgepole
137 pine (constituting > 50% of basal area), but depending on topography and elevation stands often
138 include whitebark pine (*Pinus albicaulis*), subalpine fir (*Abies lasiocarpa*) and Engelmann
139 spruce (*Picea engelmannii*). Lodgepole pine-dominated subalpine forests of Greater Yellowstone
140 are characterized by stand-replacing crown fires with fire-return intervals of 150 to 300 years
141 (Romme and Despain 1989, Schoennagel et al. 2003, Higuera et al. 2011) which are often
142 followed by high but spatially variable post-fire seedling densities (Turner et al. 1999).

143 The New Fork Lakes Fire burned in 2008 through forest stands that were in the green-
144 attack / red stage (Fig. 1B). Mountain pine beetle outbreaks were first recorded in isolated
145 locations in the study area in 2006 and 2007 in USDA aerial detection survey (ADS) maps.
146 Aerial surveys in 2008 were flown after the New Fork Lakes Fire (therefore there is no available
147 record of new beetle-induced tree mortality in 2008), but aerial photos taken during the fire by
148 USFS personnel indicated many trees in the red stage at the time of the fire (Fig. 1B). Field
149 evidence also indicated there was active infestation occurring at the time of the fire, with many
150 trees in the green-attack stage. The New Fork Lakes Fire was started when a campfire escaped
151 containment on July 29 and continued until August 30, burning 6,106 ha in total. Fire
152 management included active ignitions (i.e., burnouts) along the southwestern perimeter of the
153 fire to protect structures during a period of steady southwest winds (Steve Markason, USFS
154 Helitack Supervisor, pers. comm.). No suppression activities occurred in the study area.

155 The Red Rock Complex Fire burned in 2011 through forest stands that were in the gray
156 post-outbreak stage (Fig. 1C). Mountain pine beetle outbreaks began in 2003 in isolated study
157 area locations, with widespread tree mortality peaking between 2005 and 2009 based on ADS

158 data (Appendix A). Subalpine fir mortality attributed to outbreaks of western balsam bark beetle
159 (*Dryocoetes confusus*) and Armillaria root disease (*Armillaria spp.*) was also reported from
160 2002-2009 in ADS maps. No new tree mortality was reported in the study area in 2010 or 2011
161 in ADS maps, meaning that stands were 3-9 yr post-outbreak at the time of the 2011 Red Rock
162 Complex Fire. The Red Rock Complex Fire was composed of the Red Rock and the Gray Hills
163 Fires, ignited by lightning on August 20 and September 4, respectively. The Red Rock Complex
164 Fire was managed for wildland fire use and was extinguished by snow and rain on October 2
165 after burning 4,761 ha in total; no suppression or management burning occurred in the study area
166 (Dale Deiter, BTNF District Ranger, pers. comm.).

167 *Sampling design*

168 In both fires, study plots were distributed in subalpine forests dominated by lodgepole
169 pine with variable pre-fire mountain pine beetle outbreak severity. Plots were systematically
170 situated in each fire, but the exact process differed slightly due to different configurations of
171 suitable sample areas. Plots in the New Fork Lakes Fire ($n = 100$) were arranged in a grid in the
172 western 1/3 of the fire, after field reconnaissance indicated that was the only portion of the fire
173 where lodgepole pine was consistently $> 50\%$ of the basal area. From a random start location,
174 plots were separated by a minimum distance of 100 m or further if necessary to avoid areas not
175 meeting the study criteria (rock outcrops, non-forest, etc.) until all the suitable area was sampled.
176 Plots in the Red Rock Complex Fire ($n = 43$) were distributed throughout the fire in areas that
177 were dominated by lodgepole pine based on USFS vegetation maps. From a minimum distance
178 of 100 m within the fire perimeter at each of 10 accessible locations along the fire perimeter,
179 plots were situated along a transect perpendicular to the fire perimeter and separated by a
180 minimum of 400 m or further if necessary to the next available stand meeting study criteria until

181 all the suitable area was sampled. Minimum spacing between plots was increased to 400 m in the
182 Red Rock Complex Fire because preliminary analyses of the New Fork Lakes Fire data indicated
183 that response variables were spatially correlated at distances up to 395 m (further addressed in
184 statistical analysis). Plot center locations were randomized within 10 m of each grid/transect
185 location to avoid bias. Field sampling occurred in 2010 (New Fork Lakes Fire) and 2012 (Red
186 Rock Complex Fire), two and one years following fire, respectively.

187 In each plot, data were collected on stand structure, pre-fire beetle outbreak severity, and
188 fire severity in a 30-m diameter circular plot (0.07 ha) divided into four quadrats (NE, SE, SW,
189 NW). Stand structure was measured by recording the condition (live or dead), species, diameter
190 at breast height (dbh) to the nearest 0.5 cm, and height of every tree taller than 1.4 m in the plot.
191 We also recorded the species and height for every live or dead pre-fire sapling (trees < 1.4 m that
192 established pre-fire) occurring in 3-m belt transects along the main axes of the circle plot (N, E,
193 S, W). In the New Fork Lakes Fire, post-fire seedlings (trees that germinated post-fire) were
194 recorded in 20-0.25 m² quadrats placed every 3 m along the main axes of the plot. Because post-
195 fire tree seedling density was sparse in the Red Rock Complex Fire, sample area was increased
196 and post-fire seedlings were recorded in 3-m belt transects along the main axes of the plot. Slope
197 (°), aspect (°), and geographic coordinates were measured at plot center.

198 *Pre-fire beetle outbreak severity*

199 Pre-fire beetle outbreak severity was quantified following methods outlined in Harvey et
200 al. (in press), by removing the bark on every tree taller than 1.4 m (19,012 individual trees) and
201 recording evidence (or absence of evidence) of *Dendroctonus* activity (Safranyik and Carroll
202 2007). Each tree was assigned to one of five categories: (1) pre-disturbance snag, (2) killed by
203 bark beetles prior to fire, (3) green attack at time of fire, (4) live at the time of fire, or (5)

204 unknown (Table 1, Appendix B). By cross-referencing with ancillary information for each fire
205 (e.g., aerial photos at the time of fire and ADS maps), beetle-killed trees were assigned as green-
206 attack or red-stage in the New Fork Lakes Fire and gray-stage in the Red Rock Complex Fire.
207 Classification of trees was informed by discussions with forest entomology experts (Ken Raffa,
208 University of Wisconsin; Ken Gibson, USFS).

209 *Fire severity*

210 We quantified fire severity in each plot using field measures of fire effects in multiple
211 strata. Canopy fire severity was measured on five randomly selected co-dominant canopy trees in
212 each quadrant (20 trees per plot) by recording the maximum char height to the nearest 0.5 m and
213 the maximum percentage of scorching around the circumference on the main bole of each
214 selected tree. Fire-caused tree mortality was recorded by classifying every fire-damaged tree >
215 1.4 m in the plot that was alive at the time of fire but dead at the time of sampling as killed by
216 fire. The percentage of post-outbreak live trees and basal area that were killed by fire was used to
217 measure fire severity on the residual canopy after the outbreak. Surface fire severity was
218 measured by recording the depth of post-fire litter + duff (i.e., the soil O horizon) to the nearest
219 mm at every 3 m along the main axis of the plot (20 pts / plot) and by recording the percent
220 cover of charred surface (mineral soil, litter, woody debris), using the point intercept method.
221 Points were arranged in 5 x 5 grids contained within a 0.5 m x 0.5 m sample frame at every 3 m
222 along the main axis of the plot in the New Fork Lakes Fire (500 pts / plot) and were spaced at
223 10-cm intervals along the main axis of the plot in the Red Rock Complex Fire (480 pts / plot).

224 *Topography and burning conditions*

225 A 10-m digital elevation model (DEM) was used in ArcGIS 10.1 to generate the
226 following topographic variables for each plot center: elevation (m), slope (°), aspect (NE Index,

227 [Beers et al., 1966]), and topographic curvature (the second derivative of the elevation surface
228 [Zevenbergen and Thorne, 1987]). To capture local elevation effects, we calculated a slope
229 position by re-scaling elevation for each plot from 0 (bottom of slope) to 1 (ridge top) (Harvey et
230 al, in press).

231 We used daily burn progression maps provided by the BTNF to divide the fire into two
232 burning conditions based on weather conditions and fire growth using established methods (e.g.,
233 Thompson and Spies, 2009; Harvey et al., in press). Moderate burning conditions occurred
234 during periods of relatively low temperature and winds, high humidity, and modest fire growth;
235 extreme burning conditions were during periods of relatively high temperatures and winds, low
236 humidity, and rapid fire growth (Table 2). One exception to these trends was the wind speed
237 during the New Fork Lakes Fire; average wind speed at the nearest remote automated weather
238 station (RAWS) was higher during the moderate conditions (Table 1).

239 *Statistical analysis*

240 To test whether fire severity was linked to pre-fire outbreak severity, we regressed
241 canopy and surface fire-severity variables against pre-fire beetle-killed basal area while
242 controlling for other variables known to influence wildfire. Fire-severity response variables were
243 transformed to conform to linear model assumptions when necessary (e.g., arcsine square root
244 transform of percent cover data), and regression models were only run on variables that had
245 distributions not violating model assumptions before or after transformation. This resulted in two
246 canopy fire severity measures (char height and bole scorch) and two surface fire severity
247 measures (post-fire litter + duff depth and charred surface cover). Stepwise variable selection
248 (using BIC) among topographic (elevation, slope, aspect, topographic curvature, slope position)
249 and stand structure (live and dead basal area and stem density) variables did not result in any

250 additional variables being retained in models of fire severity. Therefore, the final models
251 contained burning condition, beetle-killed basal area, and burning condition * beetle-killed basal
252 area as fixed effects. For variables that did not meet assumptions of parametric tests before or
253 after possible transformations (the percentage of post-outbreak live basal area and trees killed by
254 fire), we used Spearman rank correlation tests between beetle-killed basal area and fire response
255 variables to evaluate effects.

256 Because stands in the New Fork Lakes Fire contained varying proportions of trees in the
257 green-attack or red stage of outbreak, we also tested for an effect of the proportion of beetle-
258 killed basal area in the red stage (red-stage basal area / [green-attack + red-stage basal area]) on
259 each of the fire severity variables, using a second regression model for each response variable. In
260 this test, a positive relationship would indicate that red-stage trees were more related to increased
261 fire severity, a negative relationship would indicate that green-attack trees were more related to
262 increased fire severity, and no significant relationship would indicate that red-stage and green-
263 attack trees were equally related to fire severity.

264 To test if beetle outbreaks and wildfire produced compound disturbance effects on post-
265 fire seedling establishment, we regressed post-fire lodgepole pine seedling establishment against
266 beetle-killed basal area after accounting for other variables (fire severity, seed source, serotiny,
267 topography) known to affect post-fire tree regeneration. Fire severity was represented by a fire
268 severity class (light surface, severe surface, crown) that was assigned in the field to each plot
269 following established protocols for the region (Turner et al. 1997). Potential seed source was
270 represented by lodgepole pine basal area ha^{-1} . Pre-fire serotiny was represented by the percentage
271 of lodgepole pine trees bearing serotinous cones (following methods in Tinker et al. 1994).
272 Stepwise variable selection (using BIC) revealed that topographic variables were not correlated

273 with post-fire seedling density and therefore were not included in models. Final models for post-
274 fire lodgepole pine seedling density included fire severity class, lodgepole pine basal area, pre-
275 fire serotiny, beetle-killed basal area, and pre-fire serotiny * beetle-killed basal area. We
276 included the interaction term to see if any effects of beetle-killed basal area on post-fire seedling
277 density changed with levels of pre-fire serotiny. Because of very sparse post-fire tree
278 regeneration in the Red Rock Complex Fire (only 7 out of 43 plots contained seedlings), we were
279 unable to build the full regression model because degrees of freedom were limited. Therefore, we
280 tested for compound disturbance interactions between fire severity and outbreak severity by
281 using a Spearman rank correlation test between post-fire seedling density and pre-fire outbreak
282 severity overall and within each fire-severity class.

283 Generalized least squares (GLS) models were used to account for spatial autocorrelation
284 among plots in the New Fork Lakes Fire, after estimating parameters from empirical variograms
285 (Crawley 2007). The modeled variogram was a spherical correlation structure with a mean range
286 of 303 m across fire-severity response variables (min 210 m, max 395 m) and a range of 216 m
287 for post-fire lodgepole pine seedling density; the nugget was approximately zero and was not
288 modeled. Generalized linear models (e.g., negative binomial) can be useful for count data such as
289 seedling density, but to our knowledge there currently exists no negative binomial model that can
290 simultaneously account for spatial autocorrelation structure in the data. Therefore, we used GLS
291 models and transformed post-fire seedling data prior to analysis (natural log + 1) to meet model
292 assumptions. Variograms indicated no spatial structure in model residuals from ordinary least
293 squares (OLS) models in the Red Rock Complex Fire; OLS models were used for analyses.

294 All statistical analyses were performed in the R statistical software (version 2.12, R
295 Foundation for Statistical Computing, Vienna, AT). Results are means \pm 1 SE unless noted. For

296 all analyses, we set $\alpha = 0.10$ to reduce the chance of Type II error and not miss potentially
297 meaningful effects. That is, we wanted to maximize the ability to detect any ecologically
298 important effect of beetle outbreak severity on fire severity or tree regeneration because of its
299 relevance for forest management.

300 **Results**

301 *Forest stand and disturbance characteristics*

302 Because of the later stage of outbreak in the Red Rock Complex Fire, the percentage of
303 beetle-killed basal area was greater than in the New Fork Lakes Fire, which was in early
304 outbreak stages. However, both fires included plots spanning low ($\ll 5\%$ of basal area) to high
305 ($> 70\%$ of basal area) levels of beetle-caused mortality (Table 3). Both fires included plots that
306 encompassed a wide range of fire severity, and fire-severity metrics were similar across fires
307 (Tables 3 and 4). Plot-level fire-severity metrics were highly correlated with each other in both
308 fires (Appendix C); nonetheless, we report results for all measures because they represent
309 different components of the ecosystem and may be of interest to managers individually.

310 *Linked disturbances? Effects of pre-fire beetle outbreak severity on fire severity*

311 *Green-attack / red-stage outbreak* - Several fire-severity metrics increased with pre-fire
312 beetle outbreak severity when fire occurred early in the green-attack / red stage, but effects were
313 generally most pronounced under moderate rather than extreme burning conditions.

314 Under moderate burning conditions, char height and bole scorch increased with outbreak
315 severity (Fig. 2A-B, Appendix D), reaching levels typically recorded under extreme burning
316 conditions in forests with or without pre-fire beetle outbreaks. Post-fire litter + duff depth
317 decreased (indicating increased fire severity) with increasing pre-fire outbreak severity (Fig. 2C,
318 Appendix D), but charred surface cover was unrelated (Fig. 2D, Appendix D). The proportion of

319 post-outbreak live basal area and trees that were killed by fire was less related to outbreak
320 severity ($r_s = 0.25$, $P = 0.24$ for basal area, $r_s = 0.35$, $P = 0.09$ for trees). Fire severity also varied
321 with the relative proportion of green-attack vs. red-stage trees in early-outbreak stands. Three
322 fire-severity metrics (char height, bole scorch, reduced litter + duff depth) increased as the
323 relative proportion of trees in the green-attack stage increased; charred surface cover showed no
324 relationship (Appendix D). Very few plots that burned under moderate conditions had high
325 outbreak severity, making the confidence interval around these trends fairly wide when ~50% of
326 the basal area was beetle killed (Fig. 2A-C). We were unable to test for statistical outliers in this
327 case because standard methods (e.g., Cook's distance) do not exist for GLS models. When we
328 removed the one plot at ~50% beetle-killed basal area, all tests were non-significant ($P > 0.10$)
329 under moderate conditions. However, these trends are likely biologically significant as there is
330 no reason to believe this point is an outlier; beetle severity and fire severity measures were well
331 within the observed trends under all burning conditions. Rather, this is related to the small
332 number of plots ($n = 25$) that burned under moderate conditions.

333 Under extreme burning conditions, most relationships between fire severity metrics and
334 outbreak severity became either non-significant (bole scorch) or decreased in effect size (char
335 height, post-fire litter + duff depth) (Fig. 2, Appendix D). The proportion of post-outbreak live
336 basal area and trees killed by fire increased with outbreak severity ($r_s = 0.47$, $P < 0.01$, and $r_s =$
337 0.48 , $P < 0.01$, respectively). Fire-severity metrics were unrelated to the relative proportion of
338 green-attack vs. red-stage trees during extreme burning conditions (Appendix D).

339 *Gray stage / post outbreak* - Several fire-severity metrics declined with pre-fire outbreak
340 severity when fire occurred in the gray stage during moderate burning conditions, but were
341 unrelated to outbreak severity during extreme burning conditions.

342 Under moderate burning conditions, char height and bole scorch both decreased with
343 higher pre-fire outbreak severity (Fig. 3A-B, Appendix D). Post-fire litter + duff depth increased
344 (indicating decreased fire severity) with higher pre-fire beetle outbreak severity, but charred
345 surface cover was unrelated (Fig 3C-D, Appendix D). Fire-caused tree mortality (proportion of
346 post-outbreak live basal area and trees killed by fire) declined with higher pre-fire beetle
347 outbreak severity ($r_s = -0.55$, $P = 0.04$ for basal area; $r_s = -0.45$, $P = 0.10$ for trees). Under
348 extreme burning conditions, no fire-severity metrics were related to pre-fire outbreak severity
349 (Fig 3E-H, Appendix D).

350 *Compound disturbances? Effects of outbreak severity on post-fire tree seedling density*

351 Post-fire conifer regeneration was variable within each fire, but mean seedling densities
352 differed by two orders of magnitude between the two fires. Post-fire tree seedling density was
353 high in the New Fork Lakes Fire (mean 111,860 ha⁻¹, median 23,000 ha⁻¹, range 0 to 1,320,000
354 ha⁻¹, Table 3). Lodgepole pine made up 99.6% of all post-fire tree seedlings, and all were one or
355 two years old at the time of sampling. Post-fire tree seedling density was much lower in the Red
356 Rock Complex Fire (mean 1,928 ha⁻¹, median 0 ha⁻¹, range 0 to 639,179 ha⁻¹). Lodgepole pine
357 made up 98.2% of seedlings in all but two of the plots, which had seedling densities > 100,000
358 ha⁻¹ and were dominated by Engelmann spruce. All seedlings in the Red Rock Complex Fire
359 were in the cotyledon stage at the time of sampling. In both fires, post-fire seedling density
360 differed with fire severity, with seedling density highest in plots that burned as severe-surface
361 fire (Fig. 4A-B). Seedling density was also positively related to pre-outbreak lodgepole pine
362 basal area ($r_s = 0.47$, $P < 0.001$ and $r_s = 0.44$, $P = 0.003$ for the New Fork Lakes Fire and Red
363 Rock Complex Fire, respectively) and pre-fire serotiny ($r_s = 0.47$, $P < 0.001$ and $r_s = 0.42$, $P =$
364 0.005, respectively).

388 disturbance effects in serotinous lodgepole pine forests. Our results highlight the importance of
389 understanding beetle outbreak effects on wildfire in the context of other drivers (e.g., burning
390 conditions), and illustrate that effects can change with time since outbreak. Further, they suggest
391 that serotiny can provide resilience against potential compound disturbance effects from beetle
392 outbreaks and fire.

393 Our detailed field data collected following actual fires fill a knowledge gap in
394 understanding beetle-fire interactions (Hicke et al. 2012), but direct comparisons to previous
395 studies are challenging because of differences in questions and/or approaches. Because fire
396 behavior and fire severity (the effects to the ecosystem) are related, fire simulation studies can
397 help inform the interpretation of our results. However, predicted fire behavior is not directly
398 comparable to post-fire measures of fire severity. Therefore, we cannot explicitly examine
399 responses such as heat intensity, flame height, rate of spread, or resistance to control that may be
400 of concern to operational fire management or suppression efforts (e.g., Jenkins et al. 2012). For
401 example, our char height measurements are limited by tree height in some cases and do not
402 represent actual flame heights. Because our study focused on post-outbreak stands that did burn,
403 we cannot directly compare our results to studies that examine the probability that beetle-
404 affected stands will burn relative to unaffected stands (Bebi et al. 2003, Bigler et al. 2005, Lynch
405 et al. 2006, Kulakowski and Jarvis 2011). Therefore, we interpret our results in the context of
406 previous retrospective and modeling studies, but do not make direct comparisons among
407 qualitatively different response variables.

408 *Fire severity in different post-outbreak stages*

409 *Green-attack / red stage* – Changes to canopy fire severity in green-attack / red-stage
410 outbreaks under moderate burning conditions were consistent with many predictions based on

411 studies of fuel properties and fire simulation modeling (Klutsch et al. 2011, Simard et al. 2011,
412 Schoennagel et al. 2012), but we detected an important effect of green-attack trees during the
413 earliest stages of an outbreak. Stands in the early stages of an outbreak are a mixture of un-
414 attacked (live), green-attack (dying), and red (dead) trees (Fig. 1B) – each with different tree-
415 level physiological responses to beetle attack. Xylem conductivity rapidly deteriorates within
416 days to weeks of mountain pine beetle infestation, mainly because of blue stain fungus
417 (*Ophiostoma clavigerum*) transmitted by attacking beetles (Miller et al. 1986, Yamaoka et al.
418 1990, Edburg et al. 2012). Impaired xylem function causes concomitant decreases in canopy
419 transpiration and leaf water potential (Hubbard et al. 2013), increasing needle flammability
420 before the crown changes color and needles begin to drop (Jolly et al. 2012a). Therefore, during
421 the first year of an outbreak (prior to the classic red stage), there is a brief period of increased
422 canopy flammability without an accompanying decrease in canopy bulk density (Jolly et al.
423 2012b). The fire-severity responses we measured in stands with ongoing beetle attack were
424 consistent with fire behavior simulations in early red-stage stands where beetle-killed trees retain
425 their needles and a large proportion of tree crowns are fading from green to red (Schoennagel et
426 al. 2012, Hoffman et al. 2012). As a stand progresses to the late-red stage when there are no
427 more green-attack trees and red trees have lost a significant portion of their needles, canopy bulk
428 density is substantially reduced and crown fire potential decreases (Klutsch et al. 2011, Simard et
429 al. 2011). Our data are also consistent with this expectation, as fire severity declined as the
430 proportion of red-stage: green-attack trees increased. Thus our results suggest an increase in fire
431 severity in the earliest stages of the outbreak, before canopy bulk density declines, and support
432 the hypothesis that green-attack trees increase canopy-fire severity. This observation may also
433 help to explain some of the differences in expectations among different studies (Hicke et al.

434 2012) and can inform modeling studies that do not currently discriminate between green-attack
435 and red-stage trees in early outbreak stands.

436 Relationships between surface-fire severity and outbreak severity in green-attack / red-
437 stage stands were less straightforward than those for canopy-fire severity. Red-stage increases in
438 fine surface fuels have been reported in some cases but not others, and predictions of surface fire
439 behavior vary (Page and Jenkins 2007a, Page and Jenkins 2007b, Klutsch et al. 2011, Simard et
440 al. 2011, Schoennagel et al. 2012). These disparities may be from differences in timing of fuels
441 data collection; surface fuels and within-stand weather conditions (e.g., wind speeds) should only
442 change after needle-drop has begun later in the red stage. In the early outbreak stages that burned
443 in the New Fork Lakes Fire, there was presumably little change to surface fuels in stands with
444 high outbreak severity because green-attack or early red-stage trees had not dropped needles. The
445 increases in forest-floor fire severity we report may therefore be from carryover effects from
446 canopy fire severity rather than from changes to surface fuels.

447 *Gray stage / post outbreak*– Decreased canopy fire severity in the gray stage under
448 moderate burning conditions is consistent with predictions from fire simulation studies (reviewed
449 in Hicke et al. 2012). Canopy bulk density is reduced by ~ 50% in the gray stage relative to
450 undisturbed stands (Page and Jenkins 2007a, Klutsch et al. 2011, Simard et al. 2011,
451 Schoennagel et al. 2012). This reduction is expected to decrease active crown fire potential
452 (Klutsch et al. 2011, Simard et al. 2011) unless beetle-killed trees have started to fall, which can
453 increase wind penetration through stands (Schoennagel et al. 2012). In the gray stage stands we
454 measured, nearly all beetle-killed trees were still standing. Increased potential for passive crown
455 fire (i.e., torching) has been predicted in some studies (Page and Jenkins 2007b, Schoennagel et
456 al. 2012) but not others (Simard et al. 2011). Although it is often impossible to differentiate

480 studies have assessed fire severity in the very early outbreak stages, possibly because the early-
481 attack and red stages are short-lived (Hicke et al. 2012) and relatively few fires have burned in
482 forests at that stage. In red-stage subalpine forests in Colorado and mixed-conifer forests in
483 California, pre-fire outbreaks were unrelated to satellite measures of fire severity (Kulakowski
484 and Veblen 2007, Bond et al. 2009). Field measures of fire severity were also unrelated to
485 outbreak severity under any burning conditions in gray-stage lower-montane Douglas-fir forests,
486 where fire severity was largely driven by topography (Harvey et al. in press). Comparisons with
487 studies that have not quantified disturbance severity (Bebi et al. 2003, Bigler et al. 2005, Lynch
488 et al. 2006) and/or controlled for burning conditions (e.g. Turner et al. 1999) remain difficult.

489 *Compound disturbance interactions: serotiny as a buffer?*

490 Pre-fire beetle outbreaks and subsequent fire did not produce compound disturbance
491 effects (Paine et al. 1998) on tree regeneration for serotinous lodgepole pine. Post-fire seedling
492 density is largely driven by pre-fire serotiny along with fire severity in Rocky Mountain
493 lodgepole pine forests (Turner et al. 1997, 1999, Schoennagel et al. 2003), and pre-fire outbreak
494 severity does not appear to alter this relationship. In the early stages of a bark beetle outbreak,
495 serotiny may maintain resilience to subsequent fire by sustaining a viable seedbank after tree
496 death (Aoki et al. 2011, Teste et al. 2011a). Decreased post-fire seedling density in serotinous
497 gray-stage stands, compared with serotinous green-attack / red-stage stands, suggests the
498 seedbank declines as time since outbreak increases, and cones fall to the forest floor (Teste et al.
499 2011a) where seeds can be consumed by animals or destroyed by fire (Buma and Wessman
500 2011, Kulakowski et al. 2013). In the five gray-stage plots (of the seven with seedlings) that had
501 high pre-fire serotiny (> 15%), there was a strong decrease in post-fire lodgepole pine seedling
502 density with increasing pre-fire outbreak severity ($r^2 = 0.91$, $P = 0.03$). However, our

503 interpretations are limited by differences in the time between fire and data collection and/or post-
504 fire climate conditions. We sampled one year after the Red Rock Fire (vs. two years after the
505 New Fork Fire), which is unlikely to change estimates in areas of crown fire but may
506 underestimate postfire seedling density in areas of lower fire severity, which may recruit during
507 the second year postfire (Turner et al. 1999). Growing conditions in 2009 following the New
508 Fork Lakes Fire were slightly wet (water-year moisture deficit was 11% below average), whereas
509 2012 following the Red Rock Fire Complex was very dry (water-year moisture deficit was 28 %
510 above average), which could have reduced seedling establishment (data source: Westerling et al.
511 2011). In any case, serotinous lodgepole pine forests may be resilient to compound disturbance
512 effects from successive beetle outbreaks and fire so long as cone-bearing trees are still standing.
513 This contrasts with non-serotinous species where seed source is substantially reduced following
514 severe bark beetle or defoliator outbreaks (Simard and Payette 2005, B.J. Harvey unpublished
515 data), leading to reductions in early post-fire tree establishment (Harvey et al. in press, Côté et al.
516 2013).

517 *Implications for post-outbreak management of stands*

518 Our results suggest that post-outbreak fuel treatments would need to be applied in the
519 first year of active bark beetle infestation or immediately thereafter to be effective at reducing
520 fire severity. There is a very short window of time in the green-attack / red stage when fire
521 severity under moderate conditions may increase to levels commonly observed under extreme
522 burning conditions. This effect diminishes once needles have begun to drop in the red stage
523 (Klutsch et al. 2011, Simard et al. 2011, Schoennagel et al. 2012). Such an early response to
524 beetle outbreak would be very challenging because many tree canopies would still be in the
525 green-attack phase (Fig. 1B), remaining undetected by visual surveys for at least another year

526 (Dodds et al. 2006, Meddens et al. 2012). By the time the red crowns of beetle-killed trees are
527 detected in ADS surveys, the potential for severe fire may already be declining. Even if aerial
528 detection of green-attack trees was possible, the time required for ADS data to be collected and
529 relayed to managers, regulatory procedures to be approved, and mobilization of logging
530 equipment is commonly > 2 yr on accessible public lands (Collins et al. 2012, Griffin et al. 2013,
531 Donato et al. 2013b). Thus, treatments would likely not be applied until stands are transitioning
532 from the late-red to the early-gray stage, when fire potentials decline. This situation presents a
533 challenge in applying timely and effective post-outbreak fuel treatments.

534 Following peak tree mortality from 2007 to 2009 (Raffa et al. 2008, Meddens et al.
535 2012), gray-stage stands now account for the largest proportion of beetle-affected forest in
536 western North America. Our results suggest that management treatments may not be necessary to
537 reduce fire severity in gray-stage / post-outbreak stands (i.e., no more necessary than in
538 unattacked forests), and treated stands would require post-harvest slash treatment to avoid
539 unintentional increases in surface-fire potential (Collins et al. 2012, Griffin et al. 2013). In later
540 stages (> 20 yr post-outbreak), simulation models have predicted that accumulation of coarse
541 fuel could increase surface-fire severity in untreated stands (Collins et al. 2012, Griffin et al.
542 2013, Donato et al. 2013b); empirical data are needed to test these predictions.

543 Most large fires in Rocky Mountain subalpine forests occur during extreme burning
544 conditions and severe drought (Schoennagel et al. 2004). Predictions of fire behavior show
545 minimal effects of pre-fire outbreaks under extreme burning conditions (Simard et al. 2011,
546 Schoennagel et al. 2012), and we observed fire-severity responses consistent with this expected
547 fire behavior. However, although we accounted for moderate and extreme burning conditions
548 within each fire, both fires occurred in relatively mild fire years in Greater Yellowstone (B.J.

572 attack trees. This is followed by a decrease in fire severity in the protracted gray post-outbreak
573 stage. However, the effects of pre-fire bark beetle outbreak severity on subsequent fire severity
574 were mainly manifest under moderate burning conditions and were reduced and/or undetectable
575 under extreme burning conditions, which is when most large wildfires occur in Rocky Mountain
576 subalpine forests. We also found that serotinous lodgepole pine forests were resilient to
577 compound disturbance effects if beetle outbreaks were followed by fire within ~ 10 years. As
578 post-outbreak forests in western North America transition into the gray stage, our findings help
579 to identify when management actions may be effective – as well as when they may not be needed
580 - to reduce future fire severity and/or improve post-fire tree establishment in serotinous
581 lodgepole pine forests.

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744 **TABLES**

745 **Table 1:** Evidence and criteria used to classify each tree into one of five categories for reconstructing pre-fire beetle outbreak severity.
 746 See Appendix B for photos of trees. Stands in the New Fork Lakes Fire (NFLF) were in the green-attack / red stage and stands in the
 747 Red Rock Complex Fire (RRCF) were in the gray post-outbreak stage.

Tree classification	Tree characteristics	% of trees sampled	
		NFLF	RRCF
Pre-disturbance snag (killed before outbreak or fire; timing and cause of death unknown)	<ul style="list-style-type: none"> • dead at time of sampling • highly weathered/decayed sapwood, most branches and bark missing • no evidence of bark beetle activity (pre- or post-fire) 	1.7	1.0
Killed by bark beetles prior to fire	<u>Visible cambium:</u> <ul style="list-style-type: none"> • dead at time of sampling, no needles in canopy • dry cambial tissue • <i>Dendroctonus</i> exit holes on the outer bark • fully excavated (but vacated) adult and larval <i>Dendroctonus</i> galleries on the vascular cambium (> 50% of bole circumference or remaining visible cambium) 	2.3	6.8
	<u>No visible cambium^a:</u> <ul style="list-style-type: none"> • dead at time of sampling, no needles in canopy • no available cambium visible due to excessive charring • > 15 cm dbh Relevant references: Turner et al. 1999, Safranyik and Carroll 2007, Simard et al. 2011, Ken Gibson, pers. comm.	1.0	9.3
Green-attack at time of fire	<ul style="list-style-type: none"> • dead at time of sampling, no needles in canopy • partially completed galleries with adult beetles charred under bark 	2.1	0.0

- OR meeting all the criteria for “killed by bark beetles prior to fire (visible cambium)” but containing needles in the canopy and located in a plot with partially completed galleries / charred beetles
- Relevant references: Safranyik and Carroll 2007, Ken Gibson and Ken Raffa, pers. comm.

Live at the time of fire

Killed by fire

- dead at time of sampling 81.7 72.0
- charred bark, branches, or outer sapwood
- no evidence of bark beetle activity (no exit holes on outer bark, no galleries under bark)
- not a highly-decayed or well-weathered snag

Killed by bark beetles after fire

- alive or dead at the time of sampling 9.1 0.1
 - clear signs of post-fire beetle activity (boring dust [which would have been consumed by fire], resin bleeding) or fully developed galleries but moist cambial tissue and/or any detectable level of needles in the canopy (which would still be present given needle-drop period of 2-3 yrs)
- Relevant references: Safranyik and Carroll 2007, Powell et al. 2011, Ken Gibson, pers. comm.

Surviving tree

- alive at the time of sampling 2.8 10.9
- green foliage, no sign of *Dendroctonus* beetle activity

Unknown

- deep charring on a tree < 15 cm dbh. 0.3 0.0

748 ^a Trees in this category were added to the ‘killed by bark beetles prior to fire’ category for all analyses because they were dead prior to
749 the fire based on charring characteristics and most likely killed by bark beetles based on tree size and outbreak history in area.

750 **Table 2:** Regional weather information for the moderate and extreme burning condition periods
 751 in the New Fork Lakes Fire (green attack / red stage) and the Red Rock Complex Fire (gray stage
 752 / post outbreak). Weather data were downloaded from the Half Moon, WY remote automated
 753 weather station (RAWS) (~25 km southeast of plot locations) for the New Fork Lakes Fire, and
 754 the Grand Teton, WY RAWS (~35 km northwest of plot locations) for the Red Rock Complex
 755 Fire. Values are means of the daily average conditions during the active burning hours (10:00-
 756 18:00) in each burning period. Data source: www.raws.dri.edu.

Burning Conditions	Duration (days)	Area burned (ha)	Total % of fire area	Temp. (°C)	Rel. Hum. (%)	Wind speed (km/h)
New Fork Lakes Fire ^a						
Moderate	3	1,271	16	23.9	17.9	15.8
Extreme	1	1,115	14	27.2	13.3	11.9
Red Rock Complex Fire						
Moderate	41	2,008	42	20.5	30.4	11.9
Extreme	3	2,753	58	24.9	20.1	14.0

757 ^a data for the New Fork Lakes Fire do not cover the entire area of the fire because plots are
 758 restricted to the western 1/3 of the fire; thus moderate and extreme burning conditions are
 759 characterized for this portion of the fire only.

760 **Table 3.** Stand structure characteristics for each study area pre-outbreak, pre-fire, and post-fire.
 761 Pre-fire beetle-killed basal area and snags is composed of the sum of red stage and green attack
 762 in the New Fork Lakes Fire and is gray stage in the Red Rock Complex Fire. Values are means
 763 (SE).

Stand structure variable	New Fork Lakes Fire	Red Rock Complex Fire
Pre-outbreak		
Live basal area (m ² ha ⁻¹)	29.5 (0.6)	39.2 (1.5)
Live stems ha ⁻¹	1,836 (76)	1,893 (131)
Lodgepole pine basal area (%)	96 (<1)	52 (4)
Pre-fire		
Beetle-killed basal area (m ² ha ⁻¹)	4.0 (0.5)	14.6 (1.3)
Beetle-killed basal area (%)	13.9 (1.7)	36.9 (2.8)
Range	0.0 to 71.9	2.4 to 77.5
Beetle-killed snags ha ⁻¹	101 (12)	267 (23)
Pre-fire serotiny (%)	45.2 (2.2)	23.5 (4.0)
Post-fire		
Fire-killed basal area (m ² ha ⁻¹)	22.7 (1.0)	34.0 (1.9)
Fire-killed basal area (% of post-outbreak live basal area)	77.1 (0.3)	86.2 (3.8)
Live basal area (m ² ha ⁻¹)	0.5 (0.2)	3.1 (1.1)
Live stems ha ⁻¹	52 (18)	209 (54)
Lodgepole pine seedlings ha ⁻¹	111,860 (22,489)	744 (416)
Median	23,000	0
Conifer seedlings ha ⁻¹ (all spp.)	112,280 (22,479)	1,928 (1,521)
Median	23,000	0

764 **Table 4.** Plot-level measures of fire severity in the New Fork Lakes Fire (green attack / red stage) and the Red Rock Complex Fire
 765 (gray stage / post outbreak).

Fire severity metric	Green attack / red stage (New Fork Lakes Fire)			Gray stage (Red Rock Complex Fire)		
	Mean	Median	Range	Mean	Median	Range
Canopy						
Char height (m)	11.5	11.9	0.7 to 21.6	9.6	9.7	0.6 to 17.2
Bole scorch (% of circumference)	90	100	38 to 100	87	97	25 to 100
Surface						
Post-fire litter + duff depth (mm)	10.2	9.0	0.0 to 29.7	3.6	2.2	0.0 to 12.8
Charred surface cover (%)	41	36	5 to 94	53	44	5 to 99
Other						
Fire-killed tree mortality (%)	88	99	20 to 100	86	100	28 to 100
Fire-killed basal area (%)	77	98	3 to 100	86	100	20 to 100

766

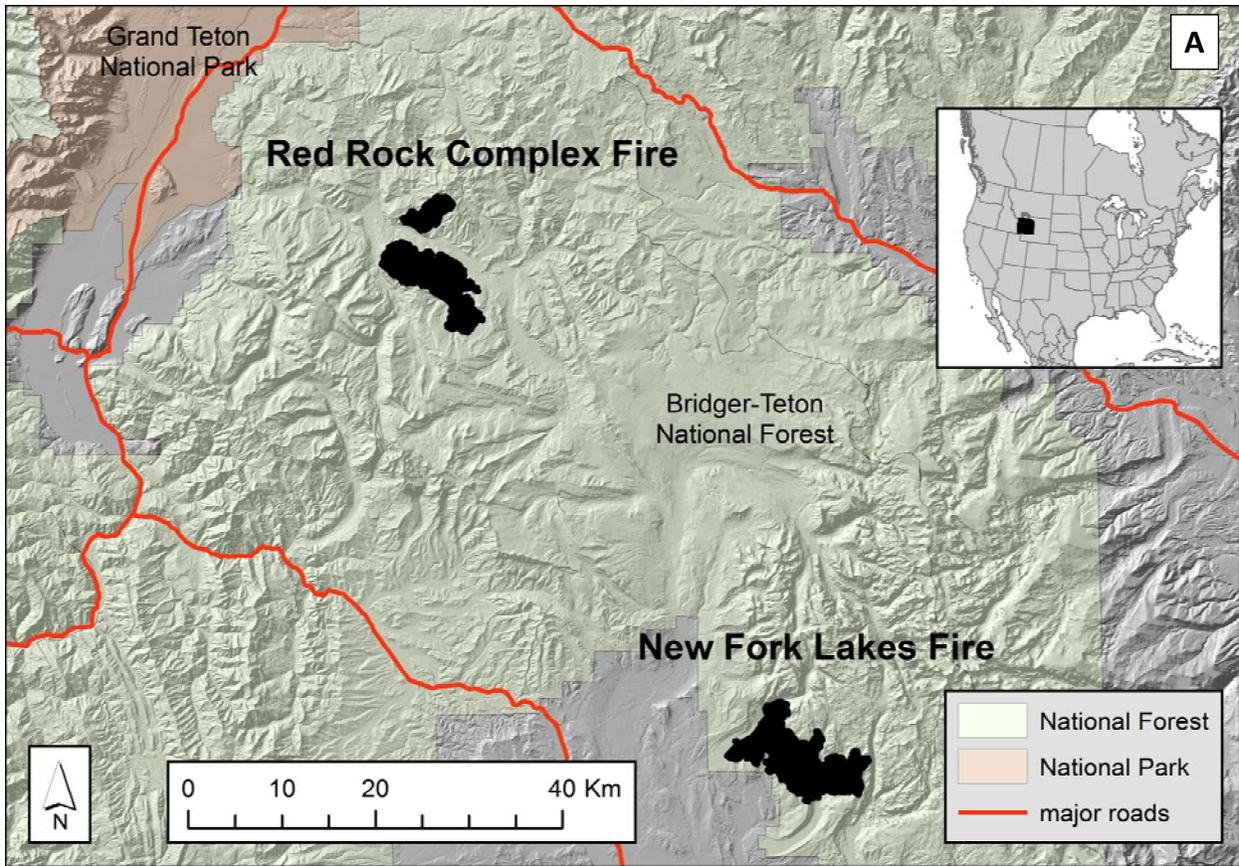
767 **Figure Legends**

768 **Figure 1.** (A) Location of the New Fork Lakes Fire and the Red Rock Complex Fire on the
769 Bridger-Teton National Forest in Greater Yellowstone (Wyoming, USA). Aerial photos illustrate
770 stand structure and the green-attack / red stage for the New Fork Lakes Fire (B) and the gray
771 stage / post outbreak for the Red Rock Complex Fire (C). Post-fire field data indicated that many
772 trees with green crowns in the New Fork Lakes Fire (A) were in the ‘green attack’ stage of beetle
773 infestation at the time of the fire. Photos were taken from helicopters flying over each fire (at the
774 time of fire) in the area where post-fire field plots were subsequently located. Photo credits:
775 Steve Markason and Dale Deiter (USFS).

776 **Figure 2.** Fire severity vs. outbreak severity for fires burning under moderate and extreme
777 burning conditions in lodgepole pine forests in the green attack / red stage of mountain pine
778 beetle outbreak (New Fork Lakes Fire). Plots illustrate effects from models in Appendix D. Solid
779 lines are generalized least squares (GLS) regression lines for significant ($P < 0.10$) relationships;
780 dashed lines are 95% confidence intervals on regression slopes. Non-significant relationships are
781 denoted with “n.s.”

782 **Figure 3.** Fire severity vs. outbreak severity for fires burning under moderate and extreme
783 burning conditions in lodgepole pine forests in the gray stage / post outbreak phase of mountain
784 pine beetle outbreak (Red Rock Complex Fire). Plots illustrate effects from models in Appendix
785 D. Solid lines are ordinary least squares (OLS) regression lines for significant ($P < 0.10$)
786 relationships; dashed lines are 95% confidence intervals on regression slopes. Non-significant
787 relationships are denoted with “n.s.”

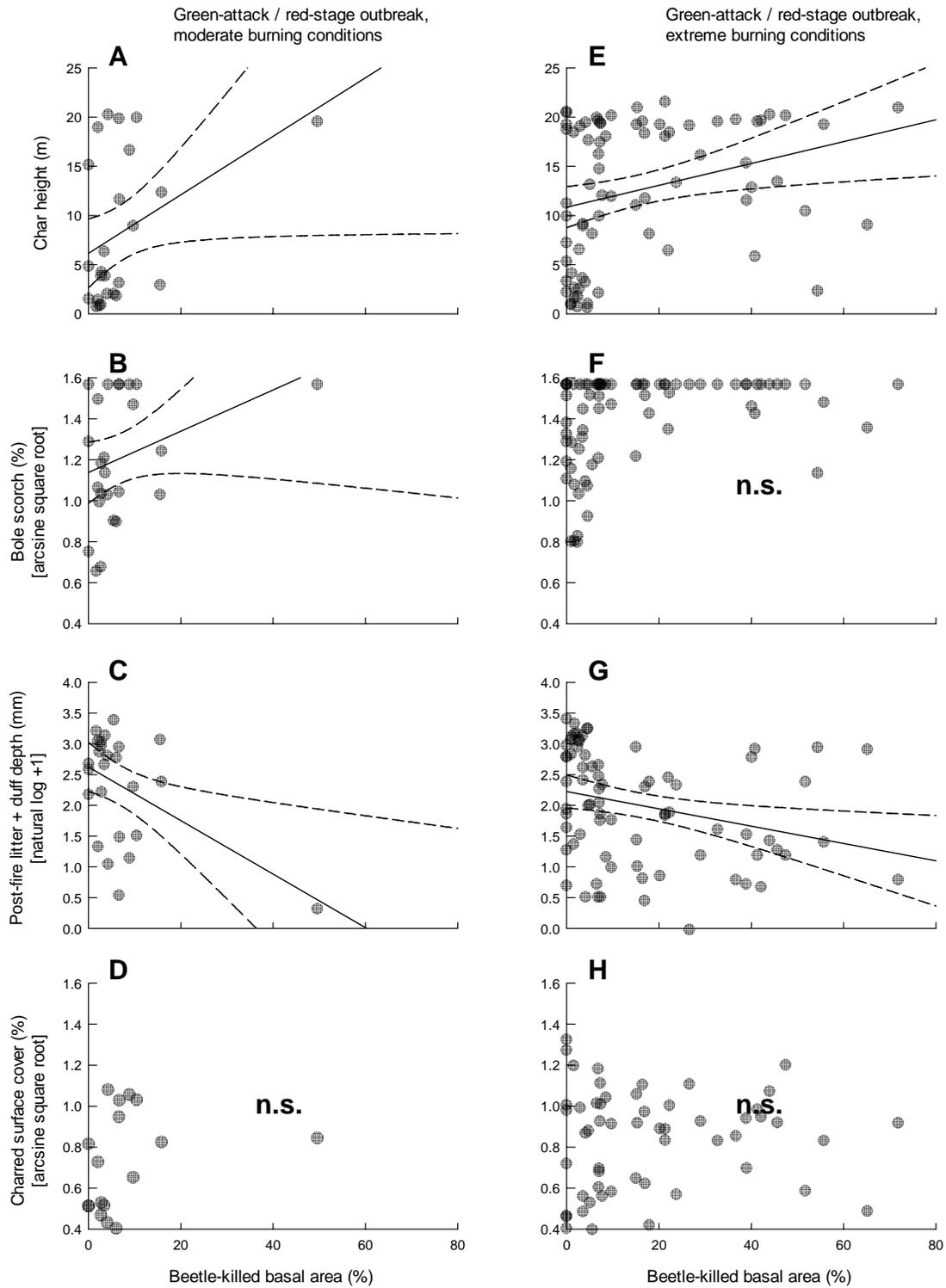
788 **Figure 4.** Post-fire lodgepole line seedlings ha^{-1} in each fire severity class (light surface, severe
789 surface, crown) for the green attack / red stage (New Fork Lakes Fire) (A) and the gray stage /
790 post outbreak (Red Rock Complex Fire) (B). Values are means with \pm 95% confidence intervals.
791 Scatterplots showing post-fire lodgepole pine seedlings ha^{-1} and pre-fire beetle outbreak severity
792 in each fire severity class for green attack / red stage (C) and gray stage / post outbreak (D).



793

794 **Figure 1**

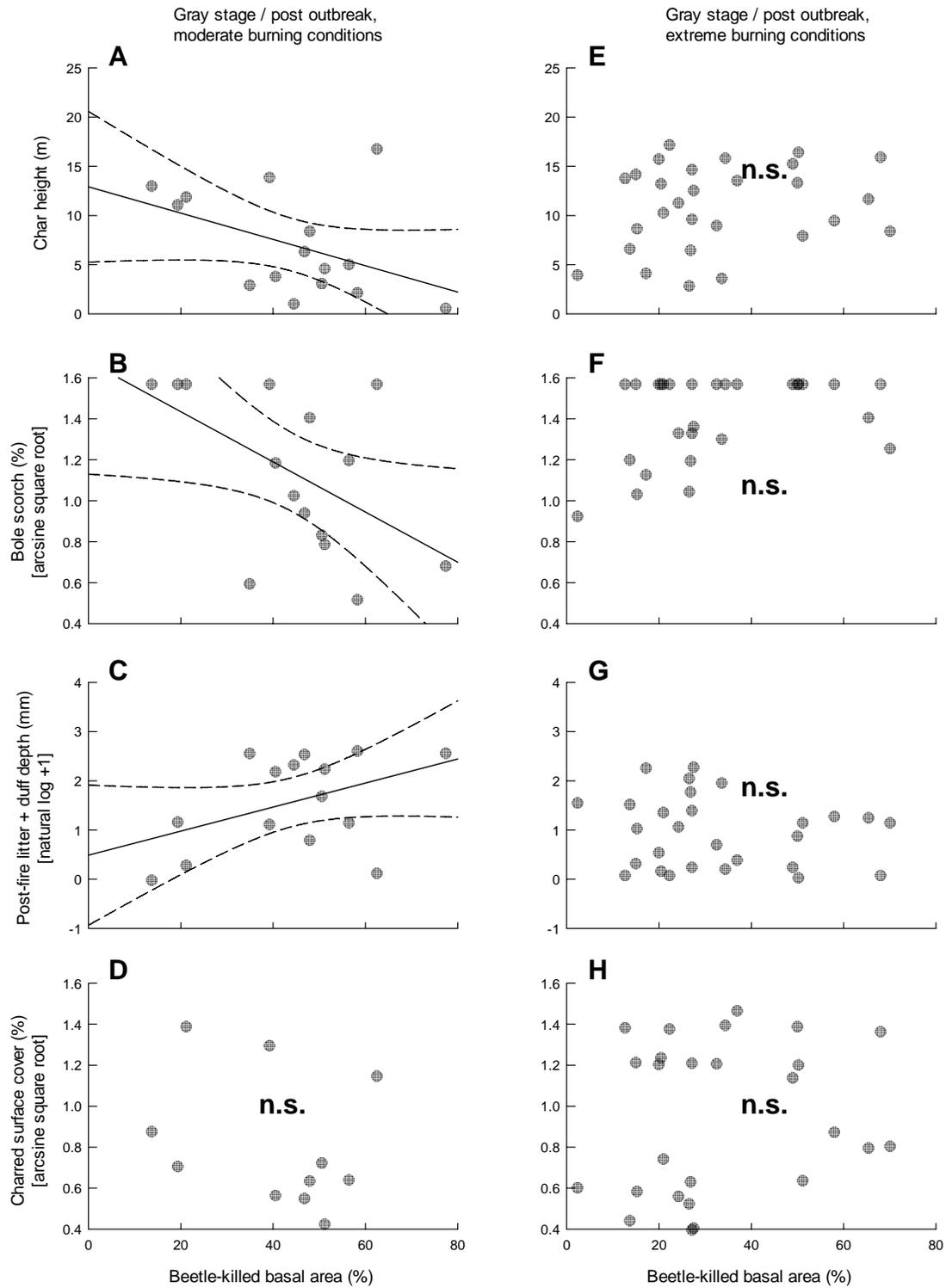
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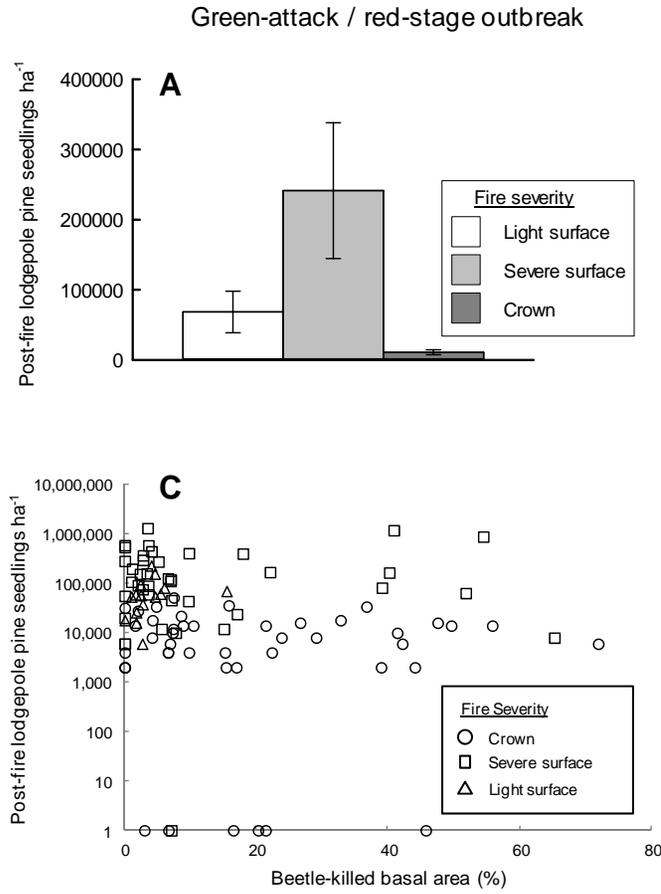
797 **Figure 2**

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800 **Figure 3**

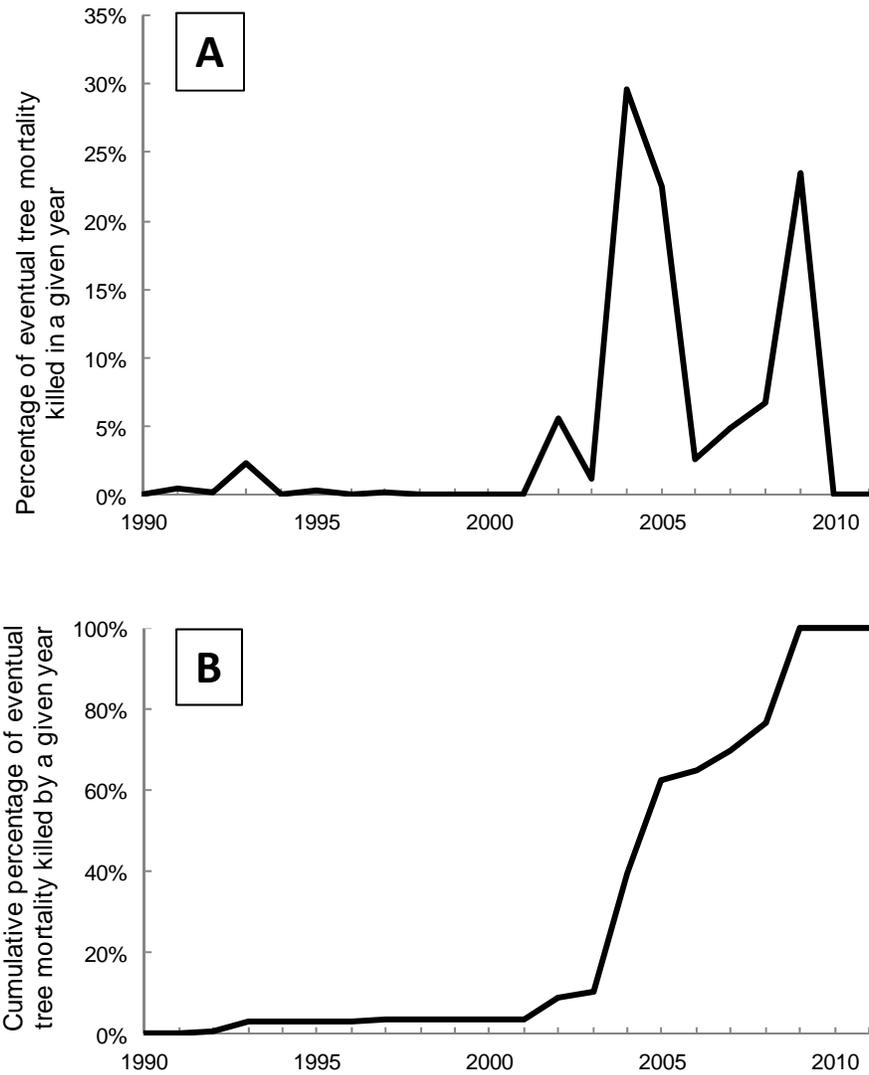


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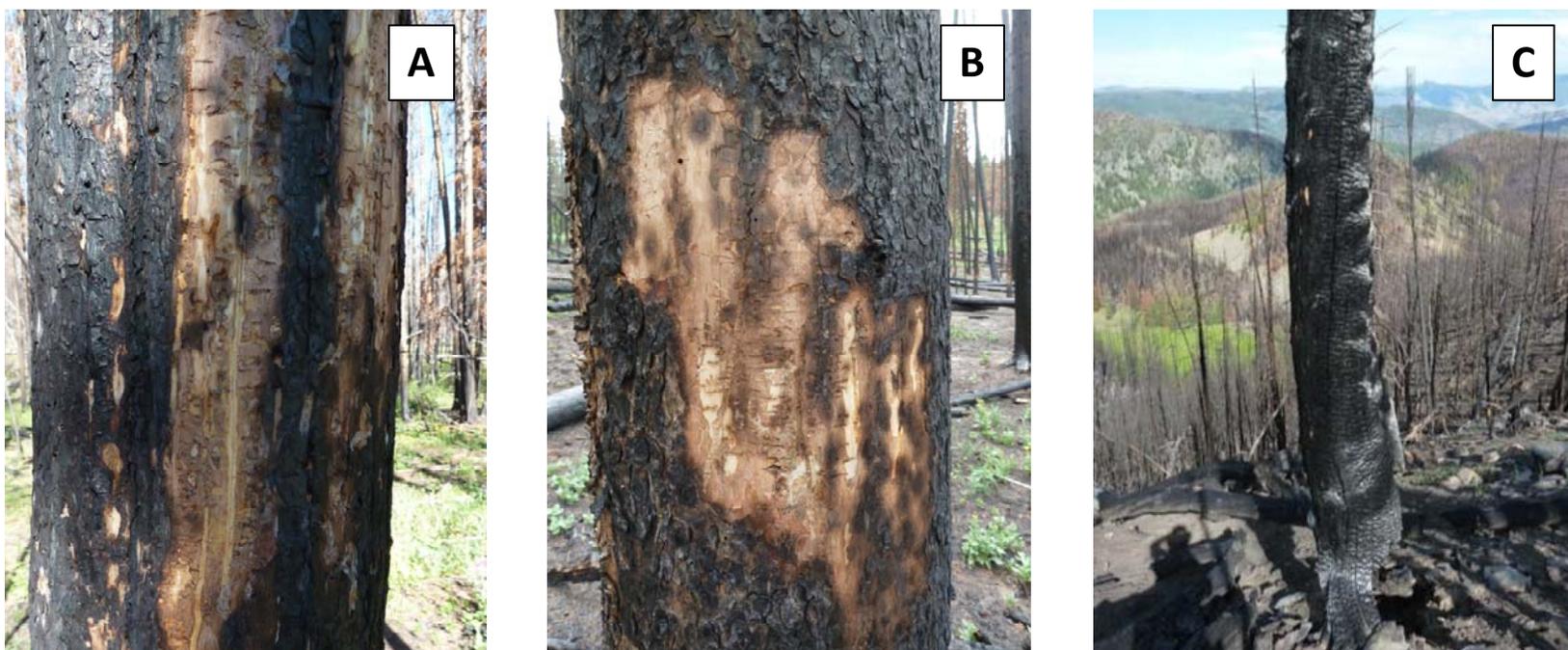
803 **Figure 4**

1 **Appendix A.** Time series of bark-beetle attributed tree mortality in the Red Rock Complex Fire



2
3 **Figure A1:** Time series of annual (A) and cumulative (B) tree mortality attributed to the
4 mountain pine beetle outbreak (69% of total mortality) and western balsam bark beetle
5 (*Dryocoetes confusus*) and Armillaria root disease (31% of total mortality) in the area of the Red
6 Rock Complex Fire. Data are calculated from USDA aerial detection surveys
7 (<http://www.fs.usda.gov>). NOTE: Yearly cumulative mortality does not represent the percentage
8 of all trees, but rather the cumulative percentage of all trees eventually killed during the recent
9 outbreak that died by a given year.

10 **Appendix B.** Photographs of bark beetle outbreak severity measurements



11
12 **Figure B1:** Photographs of general bole condition of trees classified as ‘killed by bark beetles prior to fire’ when galleries were visible
13 on cambium (A-B), and ‘likely killed by bark beetles prior to fire’ when no sapwood was visible but tree was obviously dead prior to
14 fire (C). Photo credit: B.J. Harvey.

15



16

17 **Figure B2:** Photographs of general bole condition of trees that were classified as ‘green attack at time of fire’ with partially
18 constructed adult galleries but no larval galleries (A); these trees often contained dead female mountain pine beetles charred
19 underneath the bark at the top end of galleries (B). Photo credit: B.J. Harvey.

20

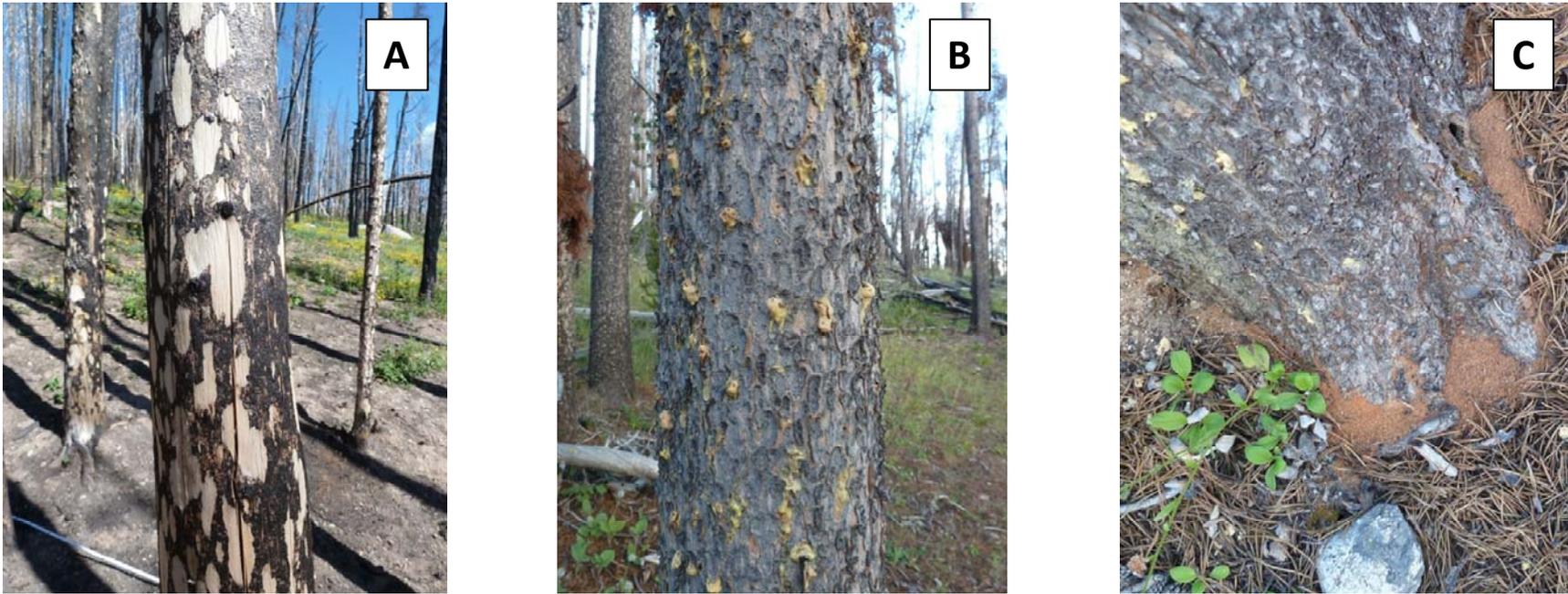
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22

23 **Figure B3:** Photographs of general bole condition (A-B) and crown condition (C) of trees with fully developed *Dendroctonus*
24 galleries, but retaining needles in the canopy, classified as ‘live at the time of fire’ but attacked by beetles post-fire if there was no
25 evidence of ‘green attack at the time of fire’ in the plot. If there was evidence of ‘green attack at the time of fire’ in the plot, trees were
26 assigned to the ‘green attack at time of fire’ class. Photo credit: B.J. Harvey.

27



29

30 **Figure B4:** Photographs of bole condition of trees classified as ‘live at the time of fire’ if there was no evidence of pre-fire galleries
31 on sapwood (A), or fresh pitch tubes and boring dust on the outer bark (B-C). Photo credit: B.J. Harvey.

32

Appendix C. Correlation among fire severity measurements

33 **Table C1:** Spearman rank correlations (r_s) among fire severity response variables in the New Fork Lakes Fire (green-attack / red stage).

34 $P < 0.001$ for all correlations. Relative difference normalized burn ratio (RdNBR) values were explored as a potential fire severity

35 response variable, but timing of pre-fire images confounded beetle and fire effects in the fire severity index; thus RdNBR was excluded

36 from analysis.

	RdNBR	Char height (m)	Bole scorch (%)	Post-outbreak live basal area killed by fire (%)	Post-outbreak live trees killed by fire (%)	Post-fire litter +duff depth (mm)	Charred surface cover (%)
RdNBR	1.00	0.86	0.89	0.77	0.78	-0.85	0.85
Char height (m)		1.00	0.91	0.81	0.83	-0.88	0.87
Bole scorch (%)			1.00	0.79	0.80	-0.86	0.86
Post-outbreak live basal area killed by fire (%)				1.00	0.99	-0.78	0.77
Post-outbreak live trees killed by fire (%)					1.00	-0.79	0.79
Post-fire litter +duff depth (mm)						1.00	-0.88
Charred surface cover (%)							1.00

37

38 **Table C1:** Spearman rank correlations (r_s) among fire severity response variables in the Red Rock Complex Fire (gray / post-outbreak
 39 stage). $P < 0.001$ for all correlations. Relative difference normalized burn ratio (RdNBR) values were explored as a potential fire severity
 40 response variable, but timing of pre-fire images confounded beetle and fire effects in the fire severity index; thus RdNBR was excluded
 41 from analysis.

	RdNBR	Char height (m)	Bole scorch (%)	Post-outbreak live basal area killed by fire (%)	Post-outbreak live trees killed by fire (%)	Post-fire litter +duff depth (mm)	Charred surface cover (%)
RdNBR	1.00	0.84	0.78	0.78	0.77	-0.78	0.83
Char height (m)		1.00	0.86	0.79	0.78	-0.86	0.81
Bole scorch (%)			1.00	0.84	0.86	-0.83	0.83
Post-outbreak live basal area killed by fire (%)				1.00	0.99	-0.74	0.75
Post-outbreak live trees killed by fire (%)					1.00	-0.75	0.75
Post-fire litter +duff depth (mm)						1.00	-0.85
Charred surface cover (%)							1.00

42

Appendix D. Fire-severity model outputs43 **Table D1.** Results of linear models testing for effects of beetle outbreak severity on subsequent

44 fire severity. Burning condition and beetle-killed basal area terms were included as fixed effects.

45 Burning conditions was a categorical variable with moderate burning conditions as the model

46 intercept. Models were only run for fire-severity response variables that satisfied parametric

47 statistics assumptions after transformation (if needed). Models for New Fork Lakes Fire are

48 generalized least squares (GLS) models with a spherical correlation structure to account for

49 spatial correlation among plots (see methods); models for Red Rock Complex Fire are ordinary

50 least squares (OLS) models. BC = burning conditions.

Response (transformation)	Predictor	β	SE	t	P
<u>New Fork Lakes Fire</u>					
Char height (m) <i>(no transformation)</i>	Moderate BC (intercept)	6.10	2.10	2.90	<0.01
	Extreme BC	5.91	2.46	2.41	0.02
	Beetle-killed basal area	27.57	11.92	2.31	0.03
	Extreme BC * beetle-killed basal area	-21.63	12.43	-1.74	0.09
Bole scorch (%) <i>(arcsine – square root)</i>	Moderate BC (intercept)	1.17	0.07	16.03	<0.01
	Extreme BC	0.21	0.08	2.52	0.01
	Beetle-killed basal area	0.93	0.37	2.51	0.01
	Extreme BC * beetle-killed basal area	-0.76	0.39	-1.95	0.05

Litter + duff depth (mm)	Moderate BC (intercept)	2.62	0.22	12.18	<0.01
<i>(natural log [1 mm added])</i>	Extreme BC	-0.46	0.26	-1.79	0.08
	Beetle-killed basal area	-4.30	1.75	-2.46	0.02
	Extreme BC * beetle-killed basal area	3.14	1.82	1.72	0.09
Charred surface cover (%)	Moderate BC (intercept)	0.55	0.09	6.30	<0.01
<i>(arcsine – square root)</i>	Extreme BC	0.12	0.10	1.15	0.25
	Beetle-killed basal area	0.72	0.58	1.23	0.22
	Extreme BC * beetle-killed basal area	-0.47	0.61	-0.77	0.44
<u>Red Rock Complex Fire</u>					
Char height (m)	Moderate BC (intercept)	12.93	3.28	3.94	<0.01
<i>(no transformation)</i>	Extreme BC	-3.69	3.72	-0.99	0.33
	Beetle-killed basal area	13.37	6.94	-1.93	0.06
	Extreme BC * beetle-killed basal area	18.57	8.38	2.22	0.03
Bole scorch (%)	Moderate BC (intercept)	1.68	0.19	8.92	<0.01
<i>(arcsine – square root)</i>	Extreme BC	-0.39	0.24	-1.85	0.07
	Beetle-killed basal area	-1.22	0.40	-3.08	<0.01
	Extreme BC * beetle-killed basal area	1.63	0.48	3.38	<0.01
Litter + duff depth (mm)	Moderate BC (intercept)	0.49	0.58	0.84	0.41
<i>(natural log [1 mm added])</i>	Extreme BC	0.69	0.66	1.06	0.30

	Beetle-killed basal area	2.44	1.22	2.00	0.05
	Extreme BC * beetle-killed basal area	-3.05	1.48	-2.07	0.05
Charred surface cover (%)	Moderate BC (intercept)	1.06	0.27	3.90	<0.01
<i>(arcsine – square root)</i>	Extreme BC	-0.29	0.31	-0.93	0.36
	Beetle-killed basal area	-0.82	0.57	-1.43	0.16
	Extreme BC * beetle-killed basal area	1.26	0.69	1.83	0.08

51

52

53
54 **Table D2.** Results of linear models testing for relationship between fire severity and the
55 proportion of beetle-killed basal area in the red stage (red stage BA / [green attack + red stage
56 BA]) in the New Fork Lakes Fire. Burning condition and proportion (red or down) terms were
57 included as fixed effects. Burning conditions is a categorical variable. Shown in the table is the
58 slope of the proportion term (red) under each burning condition. Models were only run for fire-
59 severity response variables that satisfied parametric statistics assumptions after transformation (if
60 needed). Models are generalized least squares (GLS) models with a spherical correlation
61 structure to account for spatial correlation among plots (see methods). BC = burning conditions.

Response (transformation)	Predictor	β	SE	t	P
Char height (m)	Prop. red stage in moderate BC	-10.71	6.02	-1.78	0.08
<i>(no transformation)</i>	Prop. red stage in extreme BC	-0.77	1.98	-0.39	0.70
Bole scorch (%)	Prop. red stage in moderate BC	-0.38	0.18	-2.04	0.04
<i>(arcsine – square root)</i>	Prop. red stage in extreme BC	-0.04	0.06	-0.71	0.48
Litter + duff depth (mm)	Prop. red stage in moderate BC	2.03	0.90	2.26	0.03
<i>(natural log [1 mm added])</i>	Prop. red stage in extreme BC	0.14	0.30	0.16	0.65
Charred surface cover (%)	Prop. red stage in moderate BC	-0.22	0.29	-0.76	0.45
<i>(arcsine – square root)</i>	Prop. red stage in extreme BC	-0.06	0.09	-0.61	0.54

62