

1 **A regression model for smoke plume height**
2 **of prescribed fire using meteorological conditions**

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45 **Abstract.** Smoke plume height is an important factor for smoke transport and air quality impact
46 modeling. This study provides a practical tool for simulating plume height of prescribed fires. A
47 regression model was developed based on the measured smoke plume height for 20 prescribed
48 fires in the southeastern United States. The independent variables include surface wind, fuel
49 temperature, fuel moisture, and atmospheric planetary boundary layer (PBL) height. The first
50 three variables were obtained from the Remote Automatic Weather Stations (RAWAS), most of
51 which are installed in locations where they can monitor local fire danger and are easily accessed
52 by fire managers. The PBL height was estimated based on WRF simulations. The regression
53 model appears in two forms to simulate hourly or average smoke plume height during a burn,
54 respectively. A suite of alternative regression models were also provided that could be used in
55 case that one of the independent variables is not available. The regression model as well as the
56 alternatives is found to be statistically significant at the 99% confidence level. The model is more
57 capable of explaining the variance of the average than hourly series of the observed smoke
58 plume height. Model skill is improved remarkably by adding PBL height to the RAWAS variables.
59 The regression model also shows improved skill over two extensively used empirical models for
60 the prescribed burn cases, suggesting that it may have the potential in improving air quality
61 modeling.

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64 **Keywords:** Smoke plume height, prescribed fire, regression modeling, RAWAS measurement.

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73 **1. Introduction**

74

75 Prescribed fire (Rx fire) is a forest management tool to reduce the buildup of hazardous fuels and
76 the risk of destructive wildfire. Any fire is ignited by management actions under a pre-
77 determined "window" of very specific conditions including winds, temperatures, humidity, and
78 other factors specified in a written and approved burn plan. Rx fire has been widely used. In the
79 southern United States, for example, about 2~3 million ha (6~8 million acres) of forest and
80 agricultural lands are burned by Rx fire each year (Wade et al., 2000). Emissions from Rx fire,
81 however, can impact air quality. Biomass burning is a primary source of ambient PM_{2.5} in less
82 populated areas in the southeastern U.S. (Lee et al., 2007). For example, smoke plumes from two
83 Rx fires in central Georgia led to ground PM_{2.5} concentrations much higher than the daily U.S.
84 National Ambient Air Quality Standard (Hu et al., 2008; Liu et al., 2009).

85

86 Smoke plume height, also called smoke plume rise, is the elevation above the ground of the top
87 of a smoke plume. A typical plume height is about 1 kilometer for Rx fire and several kilometers
88 for wildfire. Smoke plume height is an important factor for local and regional air quality
89 modeling. Particles emitted from Rx fire with a higher plume height are more likely to be
90 transported out of the rural burn site and may affect air quality in downwind remote populated
91 areas. Plume height is required by many regional air quality models. The Community Multiscale
92 Air Quality (CMAQ) model (Byun and Ching, 1999; Byun and Schere, 2006), for example, uses
93 the Sparse Matrix Operator Kernel Emissions Modeling System (SMOKE) (Houyoux et al.,
94 2002) to provide plume height as part of initial and boundary conditions for elevated emission
95 sources, including fire emission.

96

97 Various smoke plume height models have been developed using dynamical (e.g., Latham, 1994;
98 Freitas, 2007; Freitas et al., 2009), empirical (e.g., Briggs, 1975; Harrison and Hardy, 2002;
99 Pouliot et al., 2005), and hybrid (Achtmeier et al., 2011) approaches. One of the differences
100 among various approaches is the degree of complexity. Dynamical models consist of differential
101 equations governing fluxes of mass, momentum and energy that often require time and space
102 integration. Details of fire behavior and ambient conditions at high tempo-spatial resolutions
103 (e.g. seconds and meters) are needed. Empirical models, on the other hand, are based on field
104 and laboratory measurements using statistical or similarity theory. They usually appear as
105 algebraic expressions that require burn and ambient conditions at a lower time frequency (e.g.,
106 one hour) without spatial resolution. The simplicity with empirical models makes them a more
107 practical tool for forest managers. Empirical models have been included in many fire and air
108 quality management systems such as the Fire Emission Production Simulator (Anderson et al.,
109 2004), the Western Regional Air Partnership's Fire Emission Inventory (WRAP, 2005), and the
110 BlueSky smoke modeling system (Larkin et. al 2009).

111
112 Empirical models often use parameters related to fire behavior and atmospheric conditions. The
113 modified Briggs model used in FEPS (Anderson et al., 2004), for example, calculates smoke
114 plume height using heat release from fire, transport wind (averaged wind within the atmospheric
115 planetary boundary layer or PBL), and atmospheric stability. Heat release is determined by fuel
116 and fire properties include total consumption rate, combustion efficiency, buoyant efficiency,
117 and entrainment efficiency. The uncertainty in the related burn properties such as burned area,
118 burn phase (flaming or smoldering) partition, and empirical parameters is one of the error
119 sources.

120

121 Based on the statistics of plume height measurements of Rx fires in the southeastern United
122 States, Liu et al. (2012) developed a guideline for forest managers to estimate smoke plume
123 height without using any burn and meteorological information. The averaged smoke plume
124 height over 20 Rx fires, approximately 1 km, was suggested to be a first-order approximation. A
125 second-order approximation was suggested by making seasonal adjustments, that is, using the
126 average value for spring and fall, decreasing by 0.2 km from the average for winter, and
127 increasing by 0.2 km for summer. The guideline may avoid the uncertainty related to the burn
128 property specification with the empirical models such as the one used in FEPS, but is unable to
129 describe the variability in smoke plume height related to fire behavior and meteorological
130 conditions.

131

132 This study was to develop empirical regression models for smoke plume height of Rx fire, which
133 have a complexity level in between the FEPS approach (Anderson et al., 2004) and the guideline
134 (Liu et al., 2012). Similar to Liu et al. (2012), this study was based on plume height
135 measurements of Rx fires in the southeastern United States. However, only meteorological
136 conditions, which include both forest understory fuel conditions (temperature and moisture) and
137 weather conditions (wind and PBL height) in this study, were taken into account; however, a
138 buoyancy factor determined by heat release from burn, which is used in many existing empirical
139 models such as FEPS (Anderson et al., 2004), was not used. The major source for the
140 meteorological conditions was the Remote Automated Weather Stations (RAWS)
141 (<http://raws.fam.nwcg.gov/>). RAWS is run by the U.S. Forest Service and the U.S. Bureau of
142 Land Management and monitored by the National Interagency Fire Center. There are more than

143 2000 stations across the U.S., most of which are placed in locations where they can monitor fire
144 danger. Thus, the empirical models have the potential to be a practical tool for fire managers and
145 researchers to obtain smoke plume height information needed for assessing the air quality
146 impacts of smoke from Rx fire.

147

148 The rest of this paper is arranged as follows. The methods are described in Section 2. The
149 meteorological conditions and relationships with smoke plume height variations are described in
150 Section 3. The models and evaluation are presented in Section 4. And discussion and conclusions
151 are provided in the last two sections.

152

153 **2. Methods**

154

155

156 *a. Smoke plume height measurement*

157

158 The smoke plume heights for 20 Rx fires in the southeastern U.S. were measured during 2009-
159 2011 using a Vaisala CL31 ceilometer (a Light Detection and Ranging or LIDAR device) with a
160 frequency of 2 s and vertical resolution of 20 m. The results were analyzed in Liu et al. (2012).

161 A summary of the fires is provided in Table 1. Six burns (denoted as F1-F6) occurred at the Ft.
162 Benning Army Base (32.33N, 84.79W, near Columbus in southwestern Georgia), five (O1-O5)
163 at the Oconee National Forest (33.54N, 83.46W, in central Georgia), one (P1) at the Piedmont
164 National Wildlife Refuge (33.15N, 83.42W, in central Georgia), and eight (E1-E8) at the Eglin
165 Air Force Base (30.15N, 86.55W, near Niceville in northwestern Florida). The burns were
166 typical Rx fires for the southeastern U.S., with the fuel types of mainly pine understory dead
167 fuels and little live fuels. The burns had varied sizes (about half of the burns between 500~1000
168 acres and half over 1000 acres), occurred in three seasons (five in winter, 13 in spring, and two

169 in summer), and applied aerial (11 burns) and ground (nine burns) ignition techniques. Burning
170 lasted between 1 – 6 hours, mostly during afternoon hours. Cloudy conditions appeared for a few
171 burn cases.

172

173 *b. Data*

174

175 The RAWS observation data at four stations were used. The Ft Benning station has the same
176 location as the corresponding burn site. The Brender station is located near the southwestern side
177 of the Piedmont and Oconee burn sites. Two other stations are Naval Live Oaks by the Florida
178 coast and Open Pond at the Florida-Alabama border, about 60 km west and north to the burn site
179 at Eglin, respectively. The averaged meteorological conditions over the two stations were used
180 for Eglin. The automated measurements include solar radiation, wind speed and direction, wind
181 gusts, air temperature, fuel temperature, fuel moisture, relative humidity, dew point, wet bulb,
182 and precipitation. Only wind, air temperature, fuel temperature, fuel moisture (10-hour), and
183 relative humidity were used in this study.

184

185 In addition, the vertical meteorological profiles at the grid points near the RAWS stations
186 simulated with the Weather Research and Forecast (WRF) model (Skamarock et al., 2008) were
187 used to estimate PBL height, transport wind, and the stability factor. The WRF model domain
188 covered the southeastern U.S. with a resolution of 4 km and 27 vertical layers. The Yonsei
189 University scheme for PBL processes was selected, which uses non-local-K scheme with explicit
190 entrainment layer and parabolic K profile in unstable mixed layer. The PBL height was defined
191 as the geometric height of a model level where potential temperature starts to increase upwards.

192 The stability factor used in this study was defined as the difference in air temperature between
193 the model levels near the ground and at the PBL height (multiplying gravity acceleration and
194 divided by temperature).

195

196 Fig. 1 shows hourly variations of smoke plume height and meteorological conditions for each of
197 19 fires (The fire F2 is not shown because it was only one hour long). The hourly trends of
198 smoke plume height are classified into increase, decrease and flat groups (Table 2). For the
199 increase group, hourly smoke plume height either increases constantly or fluctuates with time but
200 with an overall increasing trend over the burn period.

201

202 Three out of the four variables show consistent trends for the increase group. Fuel moisture
203 reduces with time for all 11 burns, PBL height increases or is flat for 10 burns, and surface wind
204 increases or stays steady for 9 burns. Fuel temperature, however, has mixed trends for these
205 burns. Drying fuel or active PBL is in favor to the development of smoke plume, while
206 increasing wind suppresses the development of smoke plume to a larger degree.

207

208 Inconsistence is found mainly for two other trend groups. For the 5 burns in the decrease group,
209 there are no consistent trends in various variables except for fuel temperature, which decreases
210 with time for 4 burns. For the 3 burns in the flat group, there are no dominant trends in all
211 variables.

212

213 *c. Regression model*

214

215 We here use index notation in the following way: i is used to represent an individual
 216 meteorological variable; j is used to represent an individual element in a smoke measurement
 217 series; and, k is used to represent individual resampled series for cross validation. A multiple
 218 linear regression equation for smoke plume height, H , can be written as:

$$219 \quad H = b_0 + \sum_{i=1}^M b_i X_i \quad (1)$$

220 where b_0 is regression interception, b_i is regression coefficients, X_i is meteorological variable,
 221 and M is the number of all meteorological variables used. An F-distribution test (Blackwell,
 222 2008) was used to determine whether or not to reject a null hypothesis (that is, all the regression
 223 coefficients are zero). The critical value is dependent on the number of independent variables,
 224 the sample number of variable series, and the confidence level. A confidence level of 99% was
 225 used in this test (as well as the correlation analysis and the cross validation). This confidence
 226 level means that there is a probability of one out of 100 cases that the conclusion is incorrect.

227
 228 Denote the observed smoke plume height series as $H_{obs}(j)$ and the corresponding meteorological
 229 variable series as $X_i(j)$ ($j=1, N$), where N is the sample number of the series. We use a cross-
 230 validation technique (Barnett and Preisendorfer, 1987) to examine the sensitivity of the
 231 regression models to individual observations by:

232
 233 (1) Creating new series of smoke plume height and meteorological variables with a total
 234 series sample number of N for each, $H'_{obs}(j, k)$ and $X'_i(j, k)$, by resampling the original
 235 series. Here $j = 1, N-1$ is an individual series element and $k = 1, N$ is an individual
 236 series. The k th series did not include the element $j = k$ in $H_{obs}(j)$ and $X_i(j)$.

237 (2) Building regression equations $H'(k) = b'_0(k) + \sum_{i=1}^M b'_i(k)X_i'(k)$, $k=1, N$.

238 (3) Simulating smoke plume height $H_{simu}(j)$ ($j=1, N$) using the equation for $H'(k)$ and $X_i'(j)$,
 239 where $j=1, N$, and $k=j$.

240 (4) Estimating systematic error using mean error (ME), random error using root mean square
 241 error (RMSE), and their normalized errors by dividing the standard deviation of observed
 242 plume height, SD_{obs} .

$$243 \quad ME = \frac{1}{N} \sum_{j=1}^N [H_{simu}(j) - H_{obs}(j)] \quad (2)$$

$$244 \quad RMSE = \left\{ \frac{1}{N} \sum_{j=1}^N [H_{simu}(j) - H_{obs}(j)]^2 \right\}^{0.5} \quad (3)$$

$$245 \quad ME_{norm} = ME / SD_{obs} \quad (4)$$

$$246 \quad RMSE_{norm} = RMSE / SD_{obs} \quad (5)$$

247 $H_{obs}(j)$ ($j=1, N$) was categorized into the group of positive anomaly if $\geq 0.5 SD_{obs}$, negative
 248 anomaly if $\leq -0.5 SD_{obs}$, or normal if otherwise. Same categorization was made for $H_{simu}(j)$. The
 249 series elements had a binomial distribution. There was a probability of $p=1/3$ for $H_{obs}(j)$ and
 250 $H_{simu}(j)$ to be in a same group and a probability of $q=2/3$ to be in different groups. The modeling
 251 skill of a regression model is $S = \frac{N_c}{N}$, where N_c is the number of same group occurrence (correct
 252 number) (Barnett and Preisendorfer, 1987). Assuming that the binomial distribution could be
 253 approximated by normal distribution, a z-score (Blackwell, 2008) defined as

$$254 \quad z = (S - p) / \sqrt{pq/N} \quad (6)$$

255 was used to test the statistical significance of the regression model, together with p-score. The z-
 256 score is a statistical significance indicator that determine whether or not to reject a null
 257 hypothesis, that is, the analyzed pattern (the simulated plume height falls into a same group of

258 positive anomaly, negative anomaly, or normal as the observed plume height) is likely randomly
259 generated. For a critical value, z_{cri} , which is 2.56 at the 99% confidence level, the hypothesis is
260 rejected if $z\text{-score} > + z_{\text{cri}}$ ($z\text{-score} > 0$) or $z\text{-score} < - z_{\text{cri}}$ ($z\text{-score} < 0$). In addition, a p-value
261 smaller than the corresponding significance level (0.01) was used as another criteria. The p-value
262 is the probability that the null hypothesis has been falsely rejected.

263

264 **3. Meteorological conditions**

265

266 *a. Hourly series*

267

268 RAWs observation data were available hourly. WRF simulation outputs at each hour were used
269 accordingly. Hourly smoke plume heights were obtained by averaging the measured values over
270 each of the individual hours during a burn period. Smoke measurement during the first or final
271 hour of a burn period was usually less than 60 minutes. The average for the hour was not
272 included in the smoke plume height series if the measurement length was less than 25 minutes.
273 One exception was the first hour for E5, which had a smoke measurement length of about 50
274 minutes, but heavy clouds were on top of the smoke layer and therefore the detected heights by
275 the ceilometer were likely those of the clouds rather smoke plume. The number of hours, $I(j)$,
276 ranged between 1 and 6, where j represents a burn (Table 1). An hourly smoke plume height
277 series, $H_{\text{hour}}(i, j)$, was formed, where $i=1, I(j)$ and $j=1, 20$ (burn) with change in i first followed by
278 change in j . The hourly series of smoke plume height had 58 elements. The corresponding hourly
279 series was formed for each of the meteorological variables.

280

281 Fig.2 shows the variations of hourly smoke plume height series vs. each of the four
282 meteorological variable series. The series elements were normalized by departing from series
283 average and divided by series standard deviation. The entire smoke plume height series are
284 composed of five portions, including the negative 1st (F1 to F4), 3rd (late hours of E1 to early
285 hours of E2), and 5th (late hours of E6 to early hours of E8) portions, and positive 2nd and 4th
286 portions covering the elements in between two adjacent negative portions. There is an exception
287 with the 2nd portion which has small negative values at a few hours for O1, O3, and O5.

288

289 Wind and fuel moisture vary in an opposite direction to smoke plume height. Fuel temperature,
290 on the other hand, follows smoke plume height closely, despite the difference occurring in the
291 3rd portion where plume height is negative while temperature is positive, and from the 1st
292 portion to the first half of the 2nd portion where both have an increasing trend, but temperature
293 remains negative while plume height has turned to be positive. PBL height also generally follows
294 plume height except for the first half of the 2nd portion.

295

296 The statistics of the hourly series are provided in Table 3. Besides the meteorological variables
297 described above, four other variables (air temperature, air relative humidity, transport wind, and
298 stability factor) are also analyzed for comparison. As indicated below, air relative humidity and
299 transport wind have low correlations with smoke plume height, while surface air temperature and
300 stability have similar relationships with smoke plume height to fuel temperature and PBL height,
301 respectively.

302

303 Fuel temperature and surface air temperature have the averages of 30°C and 22.4°C and SDs of
304 8.6°C and 7.4°C, respectively. The correlation coefficients with smoke plume height are +0.434
305 and 0.464, which are statistically significant (at the 99% confidence level, same hereafter). The
306 critical value is 0.33. Fuel and air temperature are related to sensible heat energy for smoke
307 plume lifting. PBL height and stability factor have the averages of 1320 m and 0.3 m/s² and SDs
308 of 385 m and 0.1 m/s², respectively. The correlation coefficients are around +0.40 and are
309 significant. Similar to smoke plume, the development of PBL and status of atmospheric stability
310 depend on sensible heat from the ground. The surface and transport winds have the averages of
311 3.0 m/s and 5.7 m/s and SDs of 0.83 m/s and 2.5 m/s, respectively. The correlation coefficients
312 of -0.22 for the surface wind and -0.15 for transport wind are insignificant. Winds make smoke
313 plume moving horizontally and therefore reduce the buoyancy in the smoke area for vertical
314 lifting of smoke plume. Fuel moisture and air relative humidity have the averages of 8.69% and
315 43.2%, and SDs of 2.13% and 13.2%, respectively. Both are negatively correlated to smoke
316 plume height with a magnitude of 0.53 for fuel moisture (significant), but only 0.02 for relative
317 humidity (insignificant). Evaporation of water within fuels during burning consumes latent heat,
318 which reduces the sensible heat energy used to lift smoke plume.

319

320 *b. Average series*

321

322 An average series of smoke plume height, $H_{ave}(j)$ ($j=1,20$), was formed, where the j th element
323 was the average of $H_{hour}(i, j)$ over $i=1, I(j)$. The corresponding average series was formed for
324 each of the meteorological variables. The average series shows the same feature as the hourly
325 series, but the relationships between average meteorological variables and smoke plume height

326 are closer (Fig.3). The correlation coefficients have the same signs for each of the meteorological
327 variables between the average and hourly series. The magnitude, however, is larger for the
328 average series. The coefficients are 0.683 and 0.874 for air and fuel temperature and -0.583 and
329 0.582 for fuel moisture and PBL height (all significant; the critical value is 0.56), 0.538 for the
330 stability factor (close to the significant level), -0.422 for surface wind, and -0.234 and 0.201 for
331 transport wind and air relative humidity (insignificant).

332

333 **4. Regression models**

334

335 *a. Regression model*

336

337 The regression model, denoted as *RxPH* (prescribed fire plume height), was formed using four
338 meteorological variables (surface wind speed, temperature, fuel moisture, and PBL height). It
339 appears in two forms, depending on the series type (hourly or average). The regression
340 coefficients and some model properties are listed in Table 4. The model for hourly smoke plume
341 height has an interception (b_0) of 1112 m, which is 64 m more than the observed average of
342 smoke plume heights of all 20 burns. The regression coefficients (b_1 - b_4) are - 63.85, 3.849, -
343 25.78, and 0.163. The standardized regression coefficients, which are the coefficients for a
344 regression model built using normalized independent and dependent variables and measure the
345 relative contributions of independent variables to the variance of the dependent variable, are -
346 0.374, 0.167, - 0.279, and 0.335. They are comparable in magnitude, suggesting that all the four
347 variables are important to smoke plume height modeling. The squared correlation coefficient,
348 which measures the total contribution of all independent variables to the variance of the observed

349 dependent variable, is 44%, meaning the simulated smoke plume height series explains less than
350 half of the observed smoke plume height variance.

351

352 The model has a small systematic modeling error with an ME value of 4.6 m, which is only
353 about 2.5% of the SD value (i.e., $ME_{norm} = 2.5\%$). Fig.4 is the scatter plot of the simulated vs.
354 observed smoke plume height values. The model overestimates, exactly estimates, or
355 underestimates an observed plume height, respectively, if the corresponding point is located
356 above, on, or below the line with a unit slope. There are comparable numbers of points located
357 above and below the line. The overestimated values largely offset the underestimated ones,
358 leading to the small modeling systematic error as seen above. The RMSE and $RMSE_{norm}$,
359 however, are large at 141 m and 76%.

360

361 It can be seen from the simulated smoke plume series (Fig.5) that the model is able to produce
362 the observed high plume heights (peak values) for F5, O1, O3, P1, E2, E5 and the low heights
363 (valley values) for F1, F5, O1, O3, O4, and E3. However, it misses the high heights for O2, E1
364 and the low heights for F3, F4, F6, and O2, and falsely produces high height for E5 and low
365 heights for E1 and E6. The cross-validation results are provided in Table 5. The simulated series
366 has 20, 18, and 20 elements in the positive anomaly, negative anomaly and normal groups,
367 respectively. The corresponding numbers for the observed series are 16, 19, and 23. The correct
368 number is 33 out of total 58 elements, leading to a modeling skill of 56%. The corresponding z-
369 score is 3.81, which is greater than the critical value at the 99% confidence level. The p-score is
370 0.0001, which is smaller than the critical value of 0.01. Thus, the model is statistically
371 significant.

372

373 The model for the average smoke plume series is different from the one for the hourly series in
374 several ways. First, the average model contributes about 78% to the variance of the measured
375 smoke plume height series, which is an absolute increase by 35% from the hourly model. Thus,
376 the average model has a much improved modeling capacity. Second, the average model has the
377 ME of 10.5 m and ME_{norm} of 6.7%, increasing by 5.9 m and 4.2% from the hourly model; the
378 RMSE of 63 m and $RMSE_{norm}$ of 40%, however, are reduced by 78 m and 36%. This indicates an
379 increased systematic error but decreased random error. Third, the magnitude of the standardized
380 regression coefficient for fuel moisture is much smaller than that for other variables, indicating a
381 very small contribution from fuel moisture to the variance of the simulated average smoke plume
382 height.

383

384 The simulated average smoke plume height series follows the observed one very well (Fig.6).
385 The average model is able to produce all the high and low plume heights except the low height
386 for F3. It procures falsely the high heights for F6 and E1, but only by small margins. The
387 simulated average series has 8, 6, and 6 elements in the positive anomaly, negative anomaly, and
388 normal groups, in comparison with the numbers for the observed series of 7, 5, and 8. The
389 correct number is 14 out of total 20 elements, leading to a modeling skill of 67%. The
390 corresponding z-score is 3.48, which is greater than the critical value. The p-value is 0.0005,
391 which is smaller than the critical value of 0.01.

392

393 *b. Alternatives*

394

395 (1) Model without using PBL height

396

397 Several alternative regression models (Table 6), which are statistically significant, were also
398 formed in case that one of the variables used in the regression model described above (called
399 reference model hereafter) is not available. One of them, denoted as *RxPH-RAWS*, is an alternate
400 to the regression model *RxPH* if PBL height is not available. For the hourly series, the alternative
401 model has the following major changes from the reference model. First, the simulated variance
402 explains only about 34% of variance of observed smoke plume height, an absolute reduction by
403 10%. Second, the RMSE and RMSE_{norm} of 153 m and 82% become slightly larger, meaning a
404 larger random error. The model produces larger differences with the observed series for F6, O3,
405 and E2, though smaller for O4 and E5. Finally, the correct number is only 29 out of 58 elements,
406 leading to a lower skill of 49% with a z-score of 2.69. The p-score is 0.0069, smaller than the
407 critical value of 0.01.

408

409 Similar differences between the hourly and average series for the reference model are found for
410 the alternative model. For the average series, the alternative model, however, produces larger
411 differences from the observed plume height than the general regression model for most burns
412 (Fig.6). The skill is 62%, and the z-score is 3.00. The p-score is 0.0027, smaller than the critical
413 value of 0.01.

414

415 Besides the fact that a regression model will increase the contribution to total variance of the
416 simulated series with an additional variable, PBL height is a good indicator for PBL

417 development; after smoke particles are released from fire, the rise of smoke plume largely
418 depends on PBL conditions.

419

420 (2) Other alternatives

421

422 The alternative model using air temperature instead of fuel temperature, denoted as *RxPH-Ta*, is
423 used if no fuel temperature and moisture are available (Fuel moisture can be obtained using
424 weather conditions). The performance of the alternative model is close to that of the reference
425 regression model. The alternative model using stability factor instead of PBL height, denoted as
426 *RxPH-SF*, simulated hourly and average series that explain smaller variances of the
427 corresponding observed series (0.35 vs. 0.43 for hourly series and 0.68 vs. 0.78 for average
428 series). The alternative model using transport wind instead of surface wind, denoted as *RxPH-Vt*,
429 simulated an hourly series that explains slightly larger variance of the observed series (0.46 vs.
430 0.43) but smaller variance for average series (0.7 vs. 0.78) than the reference model.

431

432 **5. Discussion**

433

434 a. A regression model as well as its alternatives with statistical significance has been
435 formulated to provide a practical tool for fire managers to estimate plume height of prescribed
436 burns. To further understand the value of the regression model, the results from the model were
437 compared with the preliminary results from Daysmoke and the FEPS plume height scheme (the
438 modified Briggs scheme) in simulating the average plume height series of the 20 prescribed
439 burns. The results from the two empirical models will be described in detail in Liu et al. (2013).

440 the ME and RMSE are -5.6 m and 94 m for the regression model, 19 m and 281 m for
441 Daysmoke, and 184 m and 765 m for the FEPS scheme. Thus, the regression model has much
442 smaller errors for the specific burn cases. The FEPS scheme was found to overestimate plume
443 height for most burn cases. The reasons are yet to be investigated. One possible reason is that the
444 scheme does not distinct between wildfires and prescribed fires, but some model parameters may
445 be more appropriate to wildfires than prescribed fires. For example, the heat release rate in the
446 scheme is 8000 BTU/lb, which is about 20% higher than the average value suggested for
447 prescribed burns in the South (SFES, 1976).

448

449 b. The role of fire behavior, another primary factor often used in empirical smoke plume height
450 models, could have been indirectly included in the regression models because the meteorological
451 conditions used in this study can impact fire behavior. It is expected that skills of the regression
452 models would be improved by directly incorporating heat release, updraft core number (Liu et al.
453 2010, Achtemeier et al. 2011), and other important information provided from fire behavior
454 simulation and measurement. Topography is another factor for smoke plume height. For the
455 prescribed fires conducted in the northwestern U.S. (Harrison and Hardy, 2002), for example, the
456 burn sites were predominantly located on the lateral slopes of alpine river valleys. The up-valley
457 thermal winds were locally amplified by heat release from the fires. The plumes did not rise
458 solely from thermal buoyancy, but were significantly accelerated by up-valley convergence of
459 horizontal winds.

460

461 The approach of not directly using fire-related factors in the regression model does not mean that
462 these factors are less important for smoke plume height prediction. They were not used because

463 the primary purpose of the regression model was to provide a practical tool for fire managers.
464 This type of approach has been widely used in statistical weather forecast. For example,
465 precipitation is determined by dynamic lifting mechanism (vertical velocity), thermal instability,
466 and water vapor supply. Some statistical precipitation forecast models only use the last two
467 factors. This does not mean that the first one is less important; it is not used often due to the
468 difficulty in obtaining a quality value for the factor. This way makes the models only using the
469 last two factors a more practical tool for meteorological managers and users.

470

471 c. Empirical smoke plume height models are easy to use and computation effective. With
472 measured or predicted fire and meteorological conditions, the models are able to provide speedy
473 plume height information for air quality models (AQM). One of the issues with the models for
474 prescribed burning is the possible low accuracy. For the FEPS scheme, which is one of the two
475 plume height schemes used by the EPA community multiple-scale air quality (CMAQ) model,
476 may sometimes lead to large errors for prescribed burns, as shown above.

477

478 Other techniques for plume height also have both advantages and disadvantages. Dynamic plume
479 height models are more complete description of physics and have been used in some AQMs such
480 as WRF-Chem. The models, however, usually include many parameters that need to be
481 empirically specified or parameterized. The models themselves need temporal integration and
482 therefore present a speed disadvantage in comparison with empirical models. The complexity
483 and time costing present an issue for fire managers.

484

485 Plume height measurements are needed for model development and evaluation. They, however,
486 have a timing issue for AQM. They only provide information while the measurements are taking,
487 but not at later times, which is also needed by AQM. Satellite measurements have limited
488 frequency and specific time of passing over a specific location and therefore often miss a large
489 number of prescribed burns which often have very short burning periods. Also, satellite is
490 difficult to detect small prescribed burns, especially if they occur understory, while ground
491 measurements are too expensive to be installed at every burn site across a region.

492

493 Thus, any specific model or technique, including the model developed in this study, could
494 provide more useful plume height information than other models or techniques for AQM only
495 under certain specific circumstances. The regression model developed in this study is expected to
496 be a practical tool for fire managers and also a useful tool for AQM with improved skill in plume
497 height prediction for prescribed burning.

498

499 **6. Conclusions**

500

501 A regression model for smoke plume height as well as alternatives has been developed and
502 evaluated using the measured smoke plume heights of 20 prescribed fires in the southeastern
503 United States, together with the measured and simulated meteorological conditions near the burn
504 sites. The model was found statistically significant. The model can be used to simulate plume
505 heights for individual hours during a prescribed fire or averaged height over the burn period. The
506 model showed more capable of explaining the observed variance of the average than hourly

507 smoke plume height series. The model skill was found to be improved by adding PBL height
508 information to RAWS variables.

509

510 The RAWS measurements used in the model are easily obtained by forest managers. Thus, the
511 regression model could be a practical tool for them. The regression model also showed improved
512 skill over some existing empirical models for the measured prescribed burn cases. This suggests
513 that it may have the potential in improving air quality modeling. Further evaluation for other
514 regions, however, should be conducted to understand how robust the model's performance is.

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517

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519

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521 and field managers for collecting the ceilometer measurements, and three reviewers for the
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525 (NCL) was used for the regression analysis.

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628 **Tables**

629 **Table 1 Prescribed fire information.**

Site	Fire	Date	Acre	Period	Length (hr)	Element #	
						hour	ave
Ft. Benning	F1	2009/1/14	364	13-14	2	1-2	1
	F2	2009/1/15	583	13	1	3	2
	F3	2009/4/8	236	13-14	2	4-5	3
	F4	2009/4/9	343	13-14	2	6-7	4
	F5	2010/4/28	1000	14-15	2	8-9	5
	F6	2010/4/29	447	11-13	3	10-12	6
Oconee	O1	2009/3/24	1580	13-15	3	13-15	7
	O2	2010/3/25	2500	11-14	4	16-19	8
	O3	2010/4/1	725	12-15	4	20-23	9
	O4	2010/4/2	1069	12-14	3	24-26	10
	O5	2010/4/7	996	1-15	6	27-32	11
Piedmont	P1	2009/4/27	1195	12-14	3	33-35	12
Eglin	E1	2009/5/6	500	14-15	2	36-37	13
	E2	2009/5/7	641	12-16	5	38-42	14
	E3	2009/5/8	1058	15-16	2	43-44	15
	E4	2009/6/6	1500	14-15	2	45-46	16
	E5	2009/6/7	1600	12-16	4	47-50	17
	E6	2011/2/6	1650	14-15	2	51-52	18
	E7	2011/2/8	2046	13-15	3	53-55	19
	E8	2011/2/12	500	12-14	3	56-58	20

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633 **Table 2 Trends of hourly smoke plume height and meteorological variables. The signs represent**

634 **increase (/), decrease (\), and flat with or without fluctuation (-).**

Plume trend	Fire	Meteorological variable trends			
		Wind	Fuel temp	Fuel moist	PBL height
Increase	F1,F3,O3,P1,E1,E8	/, /, \, /, /, -	/, \, /, /, \, -	\, \, \, \, \, \, \	/, /, -, /, \, /
	O2,O4,O5, E2,E5	\, -, -, /, /	\, /, /, -, \	\, \, \, \, \, \	-, /, /, /, /
Decrease	F4,O1,E3, E4,E6	/, \, /, \, -	\, \, \, \, -, \	\, -, /, /, \	\, -, -, -, -
Flat	F5, F6, E7	\, \, -	-, /, \	\, \, -	/, -, -

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636 Table 3 Statistics of smoke plume height and meteorological variables. The notations of Ave,
 637 SD, and r represent average, standard deviation, and correlation coefficient between plume
 638 height and a meteorological variable.

Plume height / meteorological variable		Unit	Hourly series			Average series		
			Ave	SD	r (%)	Ave	SD	r (%)
H _{obs}	Plume height	m	1048	187	(-)	1023	158	(-)
V _{sfc}	Surface wind	m/s	2.9	0.8	-21.6	3.0	0.8	-42.2
T _a	Air temperature	°C	23.6	6.8	46.4	22.4	7.4	67.4
T _f	Fuel temperature	°C	31.5	8.1	43.4	30.2	8.5	68.3
M _f	Fuel moisture	%	8.4	2.0	-52.6	8.7	2.1	-58.5
R _h	Air humidity	%	43.2	13.2	2.4	42.0	12.8	20.1
H _{PBL}	PBL height	m	1320	385	44.8	1289	365	58.2
V _t	Transport wind	m/s	5.7	2.5	-15.2	5.5	2.4	-23.4
SF	Stability factor	m/s ²	0.3	0.1	39.5	0.3	0.1	53.8

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 640

641 Table 4 Regression model. b₀ is interceptor. b₁-b₄ are regression coefficients for surface wind,
 642 fuel temperature, fuel moisture, and PBL height. ME and RMSE are mean error and root mean
 643 squared error. r² is squared correlation coefficient.

Model		Regression coefficient					Error		r ²
		b ₀	b ₁	b ₂	b ₃	b ₄	ME	RMSE	
RxPH	Hourly	1112	-63.85	3.849	-25.78	0.163	4.6	141	0.43
	Average	711	-83.58	11.26	3.60	0.150	10.5	63	0.78

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646 Table 5 The z-score and p-score of regression model. G1, G2, and G3 are the numbers of smoke
 647 plume height series elements occurring in positive anomaly, negative anomaly, and normal
 648 groups. N_c is the correct number and S is correct percent.

Model		Simulation			Observation			N _c (S, %)	z-score	p-score
		G1	G2	G3	G1	G2	G2			
RxPH	Hourly	20	18	20	16	19	23	33 (56)	3.81	0.0001
	Average	8	6	6	7	5	8	14 (67)	3.48	0.0005

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655 Table 6 Same as Table 4 except for alternative regression models. b_1 - b_4 are regression
656 coefficients for surface wind (V_{sfc}), fuel temperature (T_f), and fuel moisture (M_f) for RxPH-
657 RAWS (b_4 is not used), V_{sfc} , air temperature, M_f , and PBL height (H_{PBL}) for RxPH-Ta, V_{sfc} , T_f ,
658 M_f , and stability factor for RxPH-Sf, and transport wind, T_f , M_f , and H_{PBL} for RxPH-Vt.

Model		Regression coefficient					Error		r^2
		b_0	b_1	b_2	b_3	b_4	ME	RMSE	
RxPH-RAWS	Hourly	1350	-53.70	4.544	-34.66		4.1	153	0.33
	Average	971	-85.79	12.03	-5.980		6.9	79	0.69
RxPH-Ta	Hourly	1111	-64.95	5.425	-24.64	0.153	4.6	141	0.43
	Average	885	-82.56	11.19	-4.06	0.133	9.6	69	0.75
RxPH-SF	Hourly	1031	-38.89	6.246	-27.75	688.4	3.9	151	0.35
	Average	575	-58.42	13.48	5.434	731.9	6.0	81	0.68
RxPH-Vt	Hourly	1008	-15.73	5.330	-27.85	0.198	1.9	152	0.46
	Average	572	-20.74	12.05	1.500	0.204	14.7	85	0.70

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676 **Figure captions**

677 Figure 1 Variation trends of hourly plume height (blue) and meteorological variables. One panel
678 is for one fire. The x-axis is hours during a fire. The y-axis is smoke plume height. The value
679 ranges for meteorological variables (not shown) are between 1-5 m/s for surface wind speed
680 (red), 10-50°C for fuel temperature (brown), 5-15% for fuel moisture (green), and 600-2200 m
681 for PBL height (pink).

682

683 Figure 2 Variations of normalized hourly smoke plume height (blue) and meteorological
684 variables (red). The panels from top to bottom are for wind, fuel temperature, fuel moisture, and
685 PBL height. The minor ticks in the x-axis are different hours during a fire. The vertical lines
686 separate various series portions.

687

688 Figure 3 Same as Figure 2 except for average series.

689

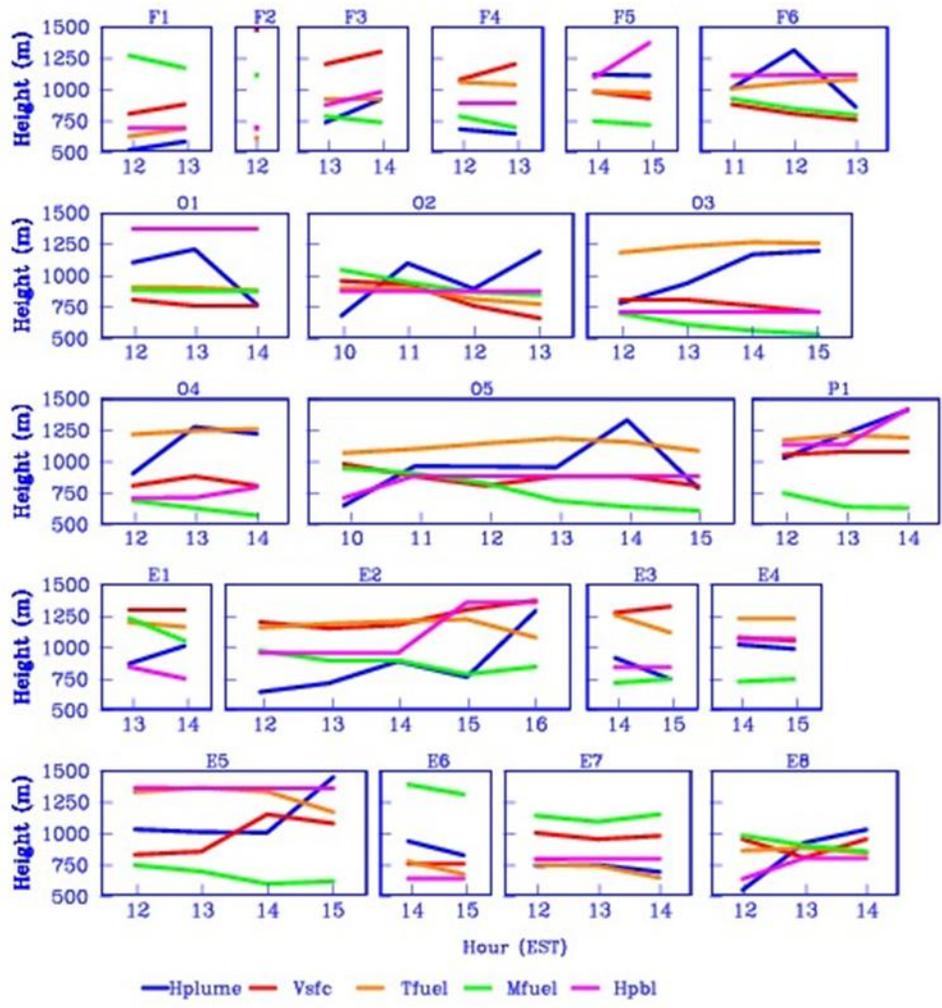
690 Figure 4 Scatter plots of the observed (x-axis) vs. simulated (y-axis) smoke plume height. RxPH
691 is the reference regression model. RxPH-RAWS is the alternative regression model without
692 using PBL height. r^2 is squared correlation coefficient.

693

694 Figure 5 Normalized observed (blue), and simulated hourly smoke plume height with RxPH (the
695 reference regression model) in red and RxPH-RAWS (the alternative regression model without
696 using PBL height) in green. The minor ticks in the x-axis are different hours during a fire.

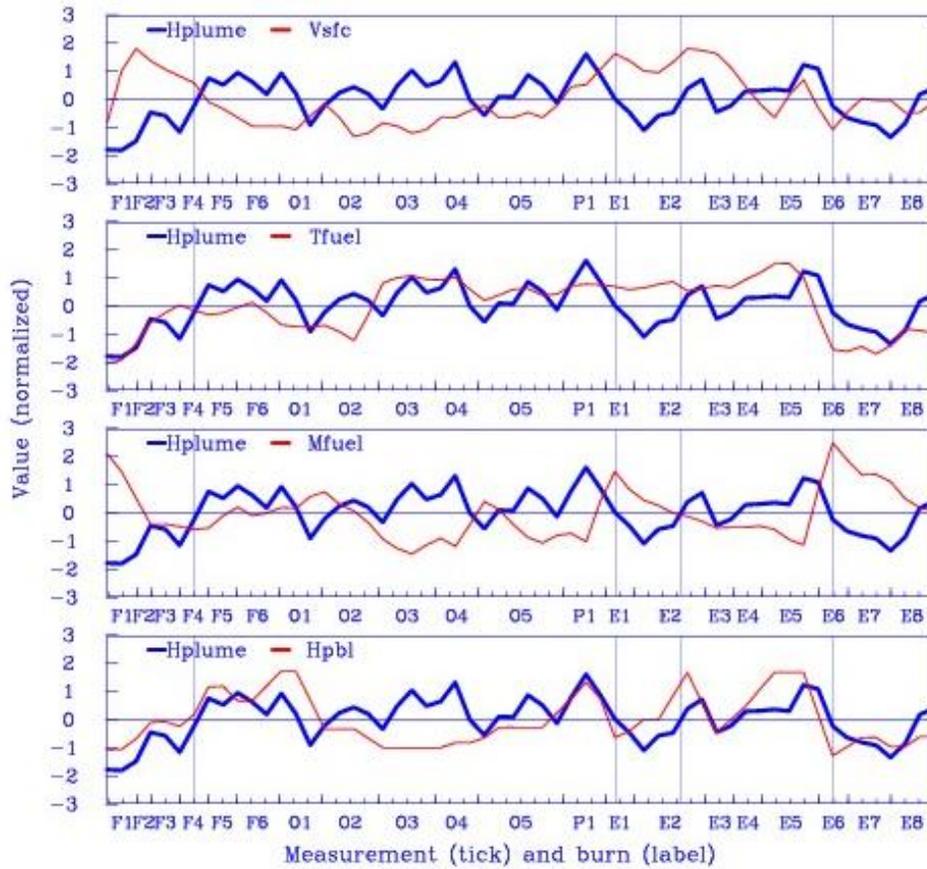
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698 Figure 6 Same as Figure 5 except for average smoke plume height.



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Figure 1 Variation trends of hourly plume height (blue) and meteorological variables. One panel is for one fire. The x-axis is hours during a fire. The y-axis is smoke plume height. The value ranges for meteorological variables (not shown) are between 1-5 m/s for surface wind speed (red), 10-50°C for fuel temperature (brown), 5-15% for fuel moisture (green), and 600-2200 m for PBL height (pink).



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Figure 2 Variations of normalized hourly smoke plume height (blue) and meteorological variables (red). The panels from top to bottom are for wind, fuel temperature, fuel moisture, and PBL height. The minor ticks in the x-axis are different hours during a fire. The vertical lines separate various series portions.

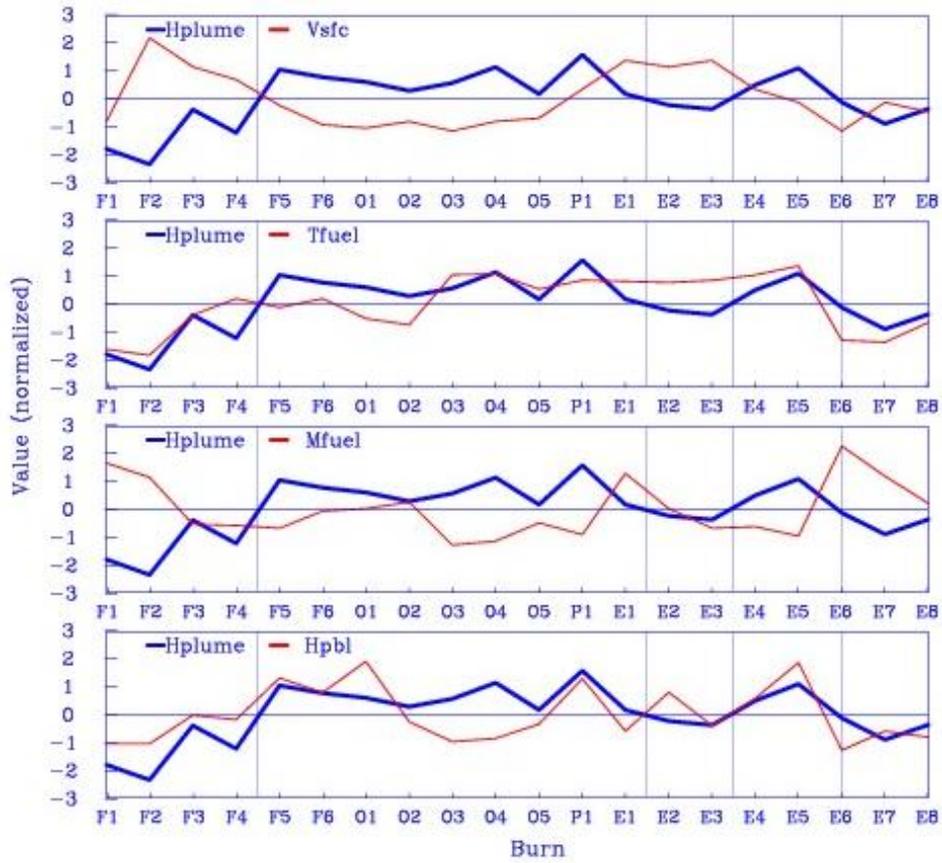
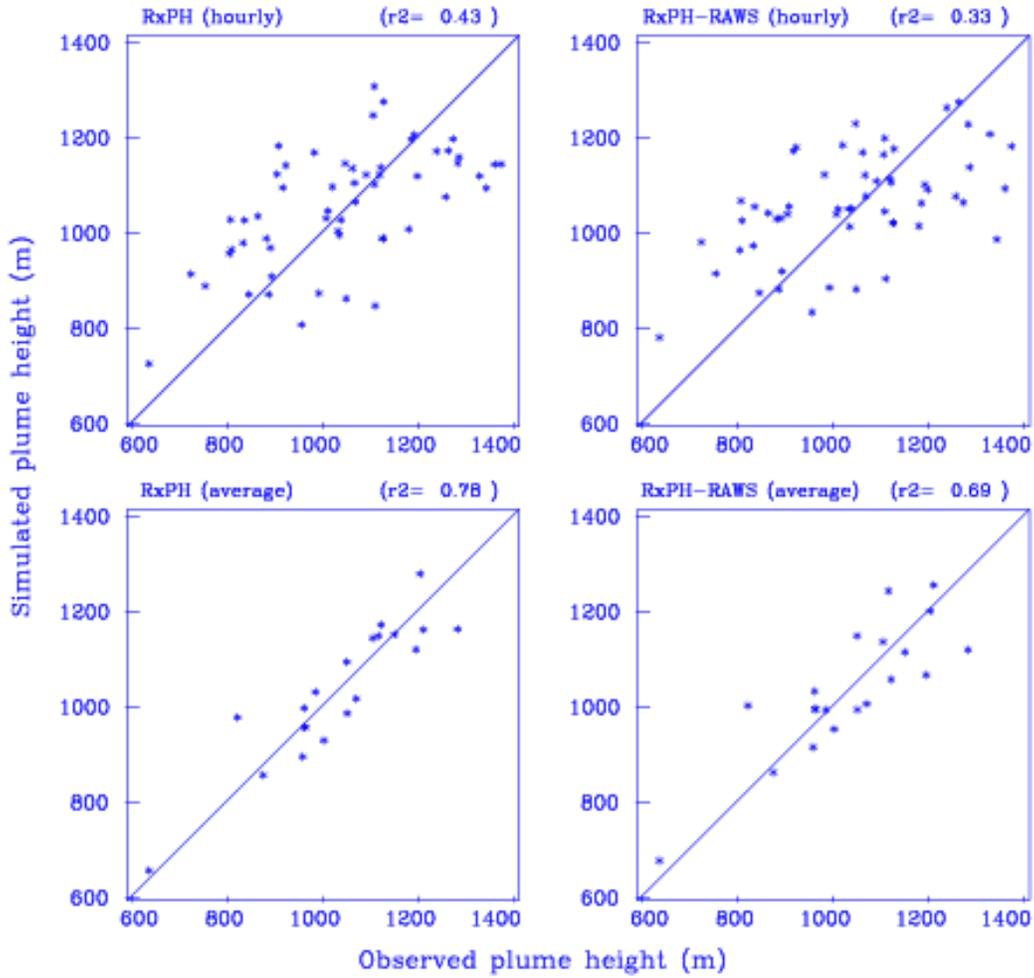


Figure 3 Same as Figure 2 except for average series.

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730 Figure 4 Scatter plots of the observed (x-axis) vs. simulated (y-axis) smoke plume height.
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733 model without using PBL height. r^2 is squared correlation coefficient.

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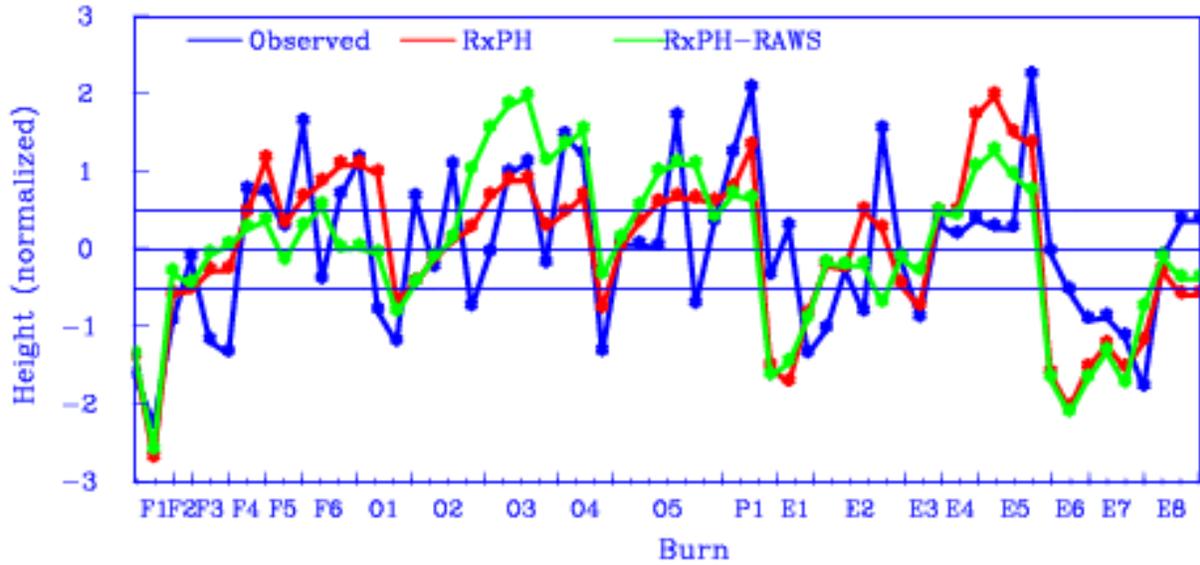
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Figure 5 Normalized observed (blue), and simulated hourly smoke plume height with RxPH (the reference regression model) in red and RxPH-RAWS (the alternative regression model without using PBL height) in green. The minor ticks in the x-axis are different hours during a fire.

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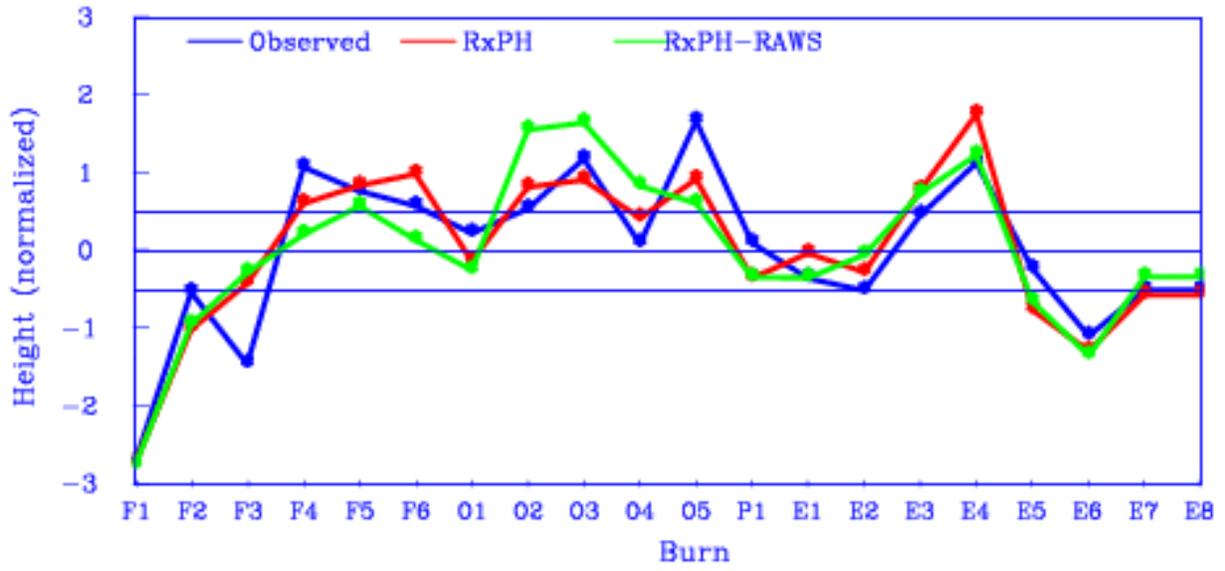
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Figure 6 Same as Figure 5 except for average smoke plume height.